



# Recursion

Tecniche di Programmazione – A.A. 2021/2022

# Summary

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1. Definition and divide-and-conquer strategies
2. Recursion: design tips
3. Simple recursive algorithms
  1. Fibonacci numbers
  2. Dicothomic search
  3. X-Expansion
  4. Anagrams
  5. Knapsack
4. Recursive vs Iterative strategies
5. More complex examples of recursive algorithms
  1. Knight's Tour
  2. Proposed exercises



# Definition and divide-and-conquer strategies

Recursion

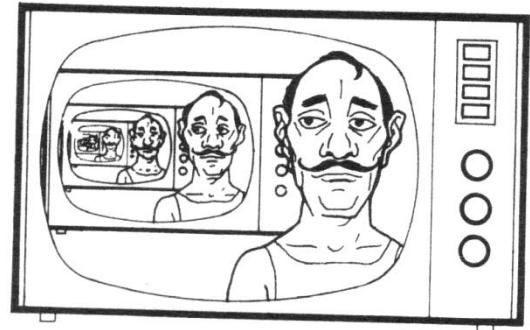
# Why recursion?

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- ▶ Divide et impera
- ▶ Systematic exploration/enumeration
- ▶ Handling recursive data structures

# Definition

- ▶ A **method** (or a procedure or a function) is defined as recursive when:
  - ▶ Inside its definition, we have a **call to the same** method (procedure, function)
  - ▶ Or, inside its definition, there is a call to another method that, directly or indirectly, calls the method itself
- ▶ An algorithm is said to be recursive when it is based on recursive methods (procedures, functions)





# Example: Factorial

$$\begin{cases} 0! \stackrel{\text{def}}{=} 1 \\ \forall N \geq 1: \\ N! \stackrel{\text{def}}{=} N \times (N-1)! \end{cases}$$

```
public long recursiveFactorial(long N)
{
    long result = 1 ;

    if ( N == 0 )
        return 1 ;
    else {
        result = recursiveFactorial(N-1) ;
        result = N * result ;
        return result ;
    }
}
```

# Motivation

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- ▶ Many problems lend themselves, naturally, to a recursive description:
  - ▶ We define a method to solve sub-problems like the initial one, but smaller
  - ▶ We define a method to combine the partial solutions into the overall solution of the original problem

*Divide et  
impera*



Gaius Julius Caesar

# Recursion

---

## ▶ Divide et Impera

- ▶ Split a problem  $P$  into  $\{Q_i\}$  where  $Q_i$  are still complex, yet *simpler* instances of the same problem.
- ▶ Solve  $\{Q_i\}$ , then merge the solutions
- ▶ Merge & split must be “simple”
- ▶ A.k.a., *Divide 'n Conquer*

## ▶ Exploration

- ▶ Systematic procedure to enumerate all possible solutions
- ▶ Solutions (built stepwise)
  - ▶ Paths
  - ▶ Permutations
  - ▶ Combinations
- ▶ Divide et Impera, by “dividing” the possible solutions

# Divide et Impera – Divide and Conquer

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- ▶ Solution = **Solve** ( Problem ) ;
- ▶ **Solve** ( Problem ) {
  - ▶ List<SubProblem> subProblems = **Divide** ( Problem ) ;
  - ▶ For ( each subP[i] in subProblems ) {
    - ▶ SubSolution[i] = **Solve** ( subP[i] ) ;
  - ▶ }
  - ▶ Solution = **Combine** ( SubSolution[ 1..N ] ) ;
  - ▶ return Solution ;
- ▶ }

# Divide et Impera – Divide and Conquer

► Solution = **Solve** ( Problem ) ;

```
► Solve ( Problem ) {  
    ► List<SubProblem> subProblems = Divide ( Problem ) ;  
    ► For ( each subP[i] in subProblems ) {  
        ► SubSolution[i] = Solve ( subP[i] ) ;  
    }  
    ► Solution = Combine ( SubSolution[ 1..N ] )  
    ► return Solution ;  
}
```

recursive call

“a” sub-problems, each  
“b” times smaller than  
the initial problem

# How to stop recursion?

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- ▶ Recursion **must not** be infinite
  - ▶ Any algorithm must always terminate!
- ▶ After a sufficient nesting level, sub-problems become so small (and so easy) to be solved:
  - ▶ Trivially (ex: sets of just one element, or zero elements)
  - ▶ Or, with methods different from recursion

# Warnings

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- ▶ Always remember the “termination condition”
- ▶ Ensure that all sub-problems are strictly “smaller” than the initial problem

# Divide et Impera – Divide and Conquer

- ▶ **Solve ( Problem ) {**
  - ▶ if( problem is trivial )
    - ▶ Solution = **Solve\_trivial** ( Problem ) ;
  - ▶ else {
    - ▶ List<SubProblem> subProblems = **Divide** ( Problem ) ;
    - ▶ For ( each subP[i] in subProblems ) {
      - SubSolution[i] = **Solve** ( subP[i] ) ;
    - ▶ }
    - ▶ Solution = **Combine** ( SubSolution[ 1..N ] ) ;
  - ▶ }
  - ▶ return Solution ;
- ▶ }

check termination

do recursion

# Exploration

---

- ▶ **Explore ( S ) {**
- ▶ List<Step> steps = **PossibleSteps** ( Problem, S ) ;
- ▶ for ( each p in steps ) {
- ▶ **S.Do** ( p )
- ▶ **Explore** ( S ) ;
- ▶ **S.Undo** ( p ) ;
- ▶ }
- ▶ }

# Exploration

```
▶ Explore ( S ) {  
    ▶ List<Step> steps = PossibleSteps ( Problem, S ) ;  
    ▶ for ( each p in steps ) {  
        ▶ S.Do ( p )  
        ▶ Explore ( S ) ;  
        ▶ S.Undo ( p ) ;  
    }  
}
```

The “status” of the problem

Local variable

“Try” the step

Recursion

Backtrack!



Design tips

Recursion

# Analizzare il problema

---

- ▶ Come imposto in generale la ricorsione?
- ▶ Che cosa mi rappresenta il "livello"?
- ▶ Com'è fatta una soluzione parziale?
- ▶ Com'è fatta una soluzione totale?

# Generale le possibili soluzioni

---

- ▶ Qual è la regola per generare tutte le soluzioni del livello+1 a partire da una soluzione parziale del livello corrente?
- ▶ Come faccio a riconoscere se una soluzione parziale è anche completa? (terminazione con successo)
- ▶ Come viene avviata la ricorsione (livello 0)?

# Identificare le soluzioni valide

---

- ▶ Data una soluzione **parziale**, come faccio a
  - ▶ sapere se è valida (e quindi continuare)?
  - ▶ sapere se non è valida (e quindi terminare la ricorsione)?
  - ▶ nb. magari non posso...
- ▶ Data una soluzione **completa**, come faccio a
  - ▶ sapere se è valida?
  - ▶ sapere se non è valida?
- ▶ Cosa devo fare con le soluzioni complete valide?
  - ▶ Fermarmi alla prima?
  - ▶ Generarle e memorizzarle tutte?
  - ▶ Contarle?

# Progettare le strutture dati

---

- ▶ Qual è la struttura dati per memorizzare una soluzione (parziale o completa)?
- ▶ Qual è la struttura dati per memorizzare lo stato della ricerca (della ricorsione)?

# Scheletro del codice

---

```
// Struttura di un algoritmo ricorsivo generico

void recursive (... , level) {

    // E -- sequenza di istruzioni che vengono eseguite sempre
    // Da usare solo in casi rari (es. Ruzzle)
    doAlways();

    // A
    if (condizione di terminazione) {
        doSomething;
        return;
    }

    // Potrebbe essere anche un while ()
    for () {

        // B
        generaNuovaSoluzioneParziale;

        if (filtro) { // C
            recursive (... , level + 1);
        }

        // D
        backtracking;
    }
}
```

# Riempire lo scheletro (del codice)

Blocco	Frammento di codice
A	
B	
C	
D	
E	

```
// Struttura di un algoritmo ricorsivo  
void recursive (... , level) {  
  
    // E -- sequenza di istruzioni che ve  
    // Da usare solo in casi rari (es. Ru  
    doAlways();  
  
    // A  
    if (condizione di terminazione) {  
        doSomething;  
        return;  
    }  
  
    // Potrebbe essere anche un while ()  
    for () {  
  
        // B  
        generaNuovaSoluzioneParziale;  
  
        if (filtro) { // C  
            recursive (... , level + 1);  
        }  
  
        // D  
        backtracking;  
    }  
}
```



# Simple recursive algorithms

Recursion

# Exercise: Anagram

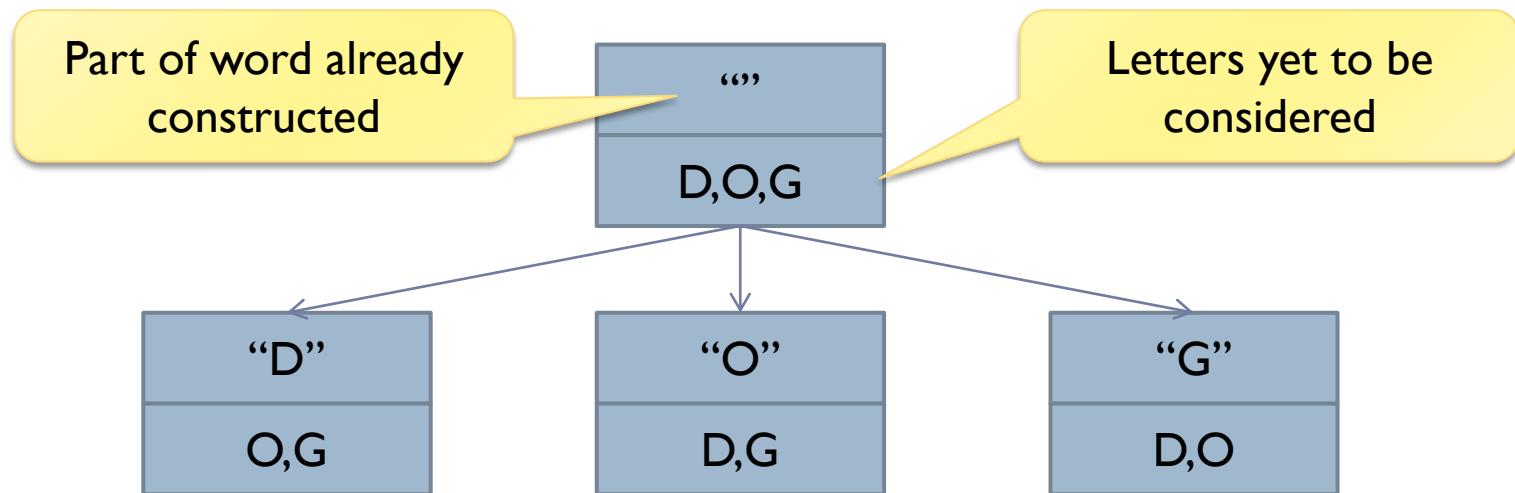
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- ▶ Given a word, find all possible anagrams of that word
  - ▶ Find all permutations of the elements in a set
  - ▶ Permutations are  $N!$
- ▶ E.g.: «Dog» → dog, dgo, god, gdo, odg, ogd

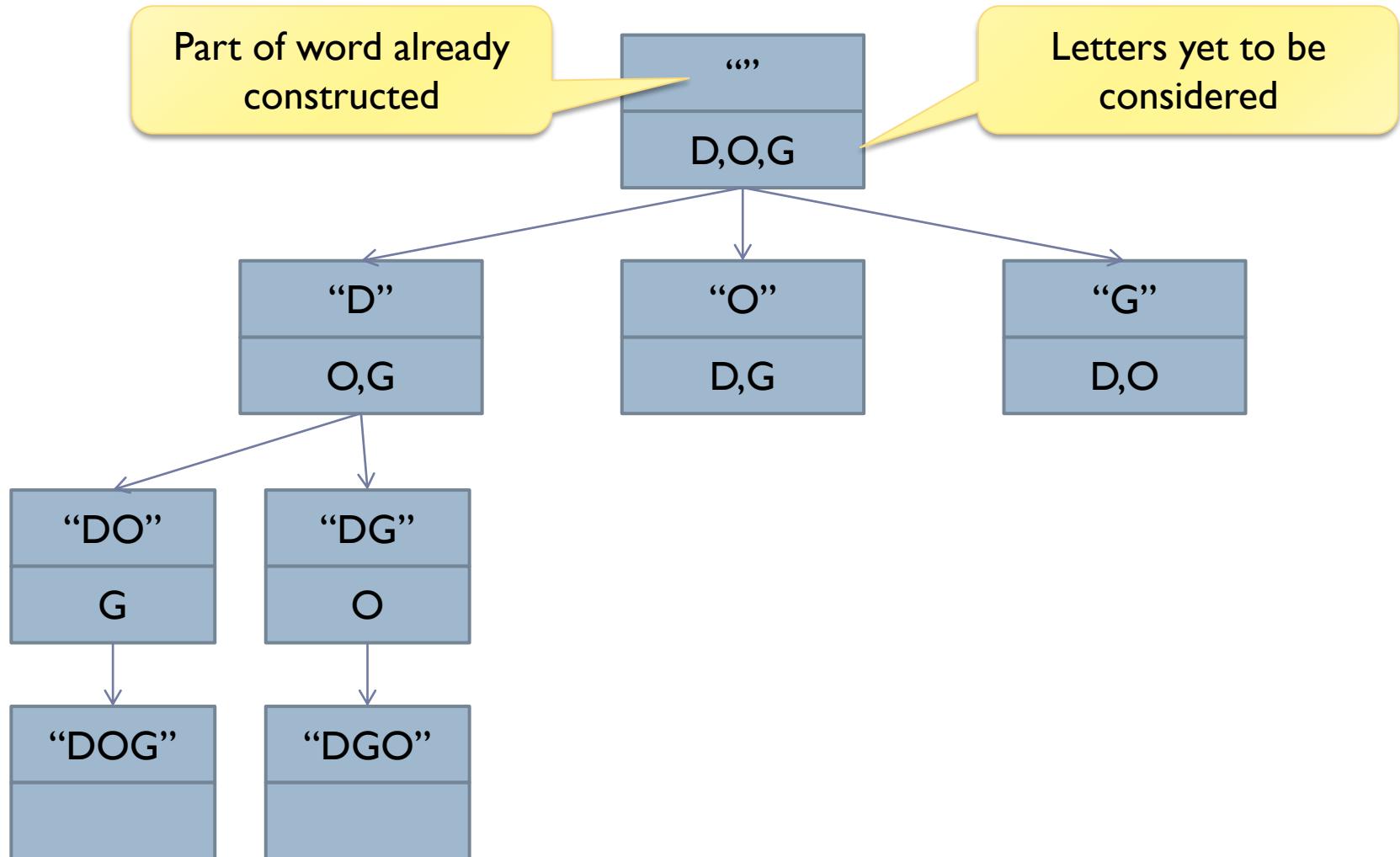
# Anagrams: recursion tree



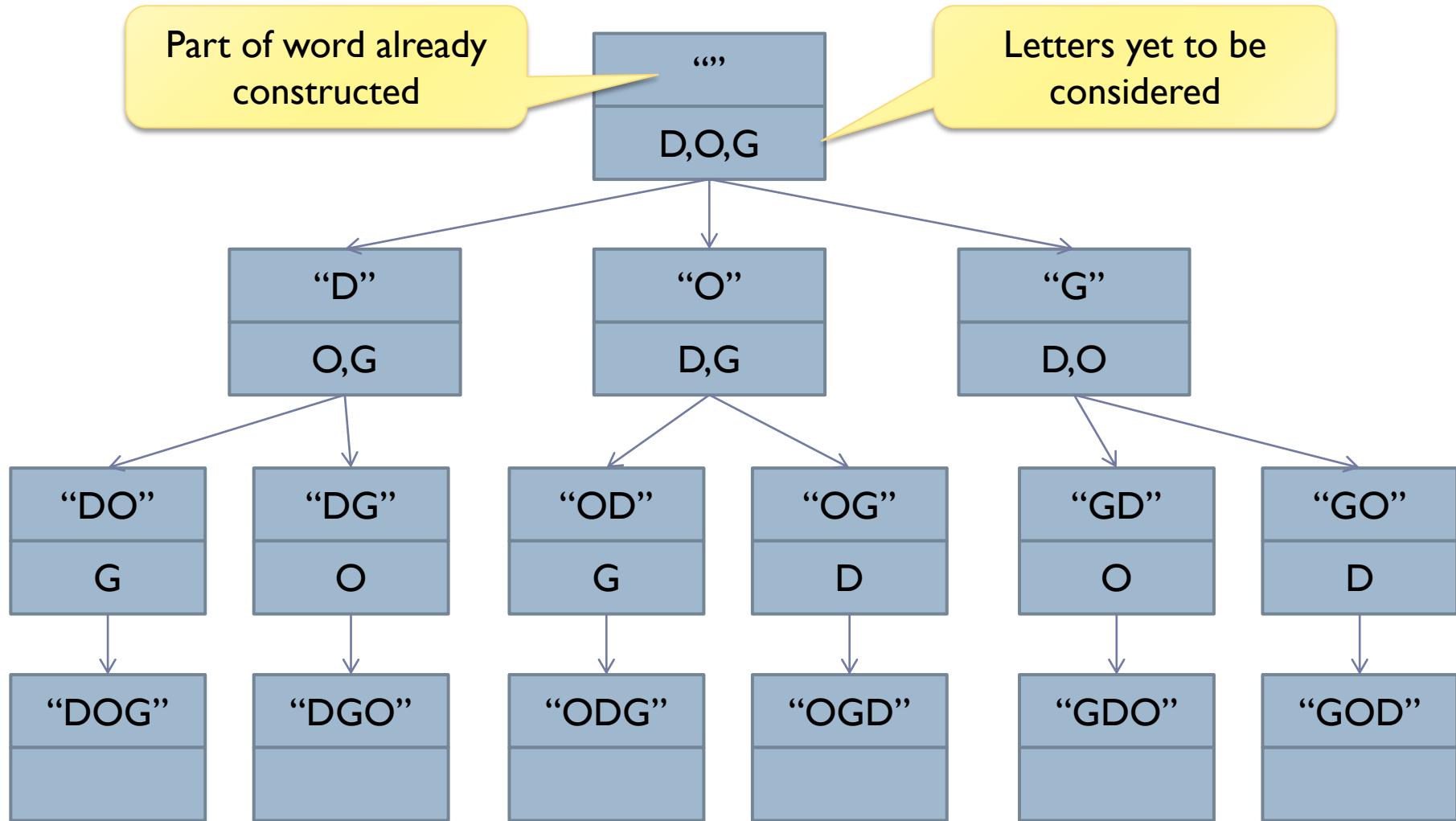
# Anagrams: recursion tree



# Anagrams: recursion tree



# Anagrams: recursion tree



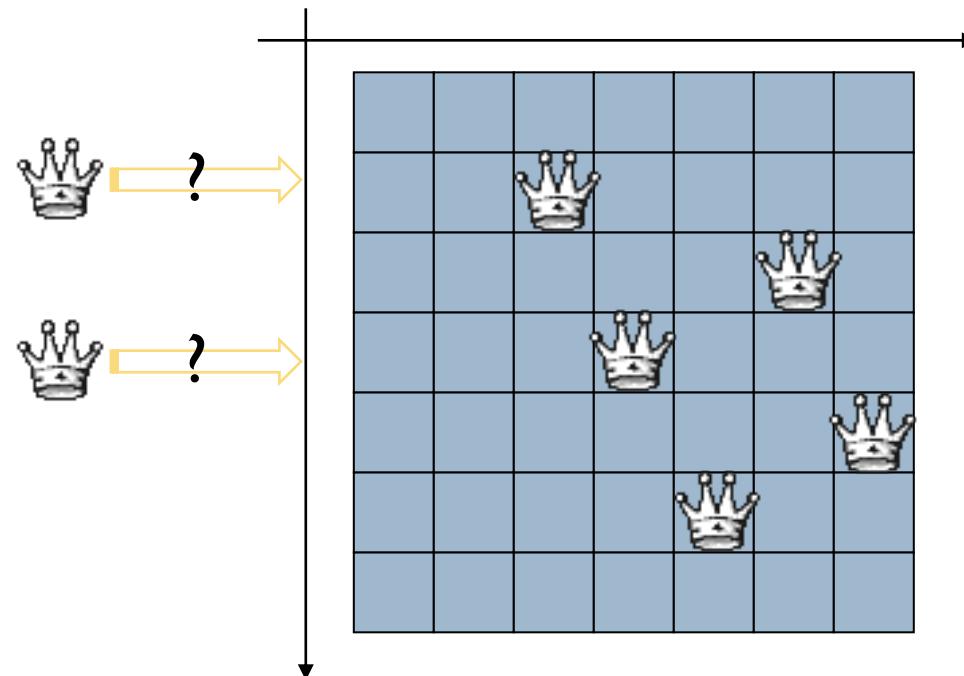
# Anagrams: problem variants

- ▶ Generate only anagrams that are “valid” words
  - ▶ At the end of recursion, check the dictionary
  - ▶ During recursion, check whether the current prefix exists in the dictionary
- ▶ Handle words with multiple letters: avoid duplicate anagrams
  - ▶ E.g., “seas” → **seas** and **seas** are the same word
  - ▶ Generate all and, at the end or recursion, check if repeated
  - ▶ Constrain, during recursion, duplicate letters to always appear in the same order (e.g, **s** always before **s**)

<http://wordsmith.org/anagram/index.html>

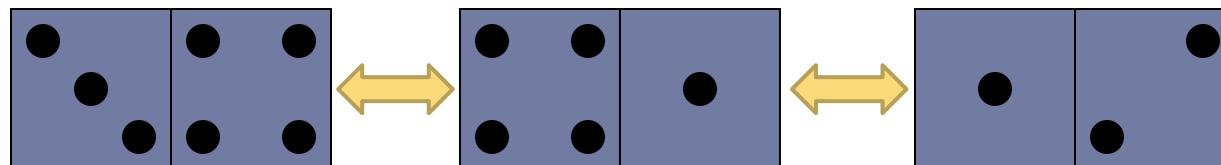
# The N Queens

- ▶ Consider a NxN chessboard, and N Queens that may act according to the chess rules
- ▶ Find a position for the N queens, such that no Queen is able to attack any other Queen

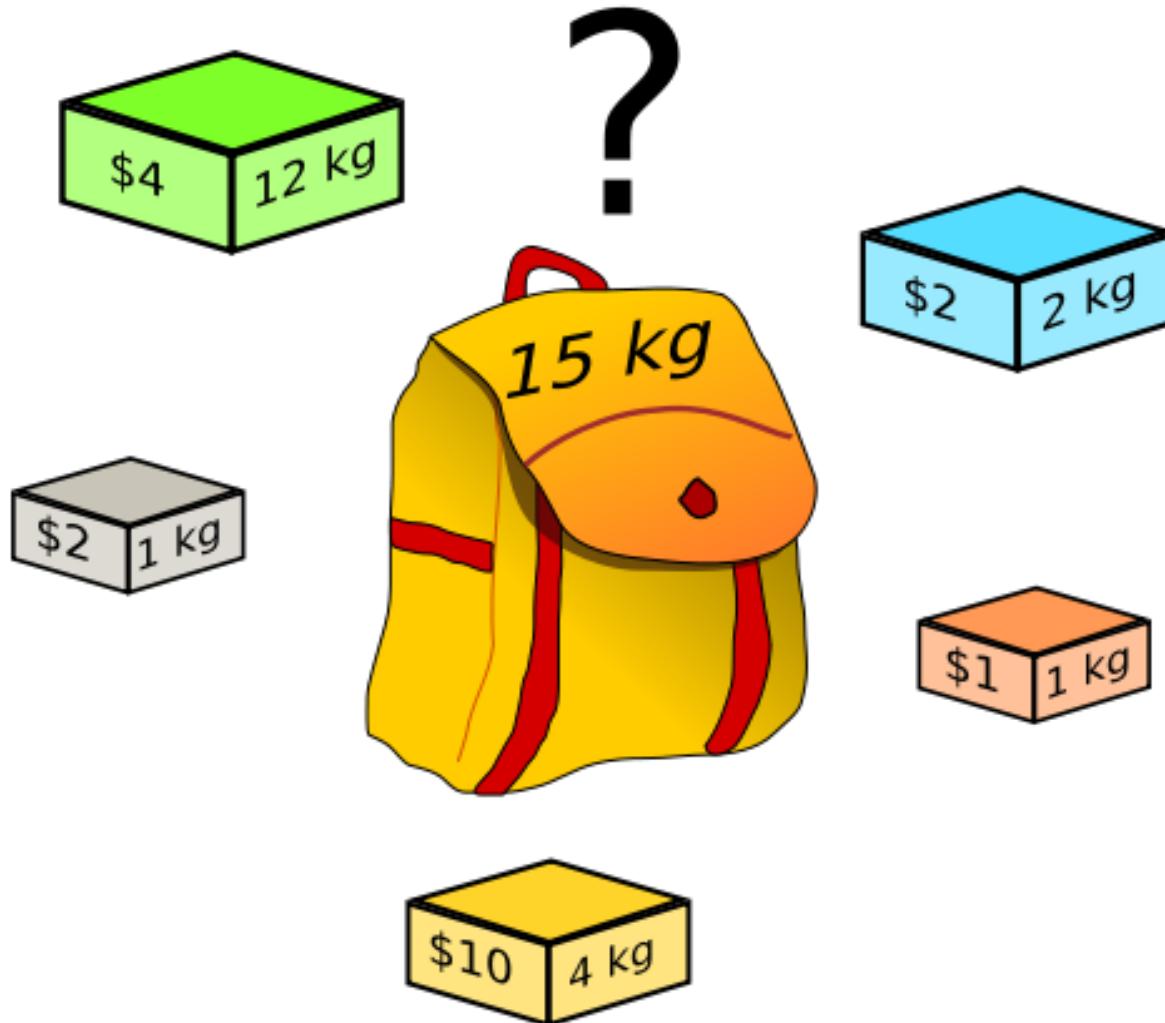


# Domino game

- ▶ Consider the game of Domino, composed of two-sided pieces: each side is labeled with a number from 0 to 6. Each combination of number pairs is represented exactly once.
- ▶ Find the longest possible sequence of pieces, such that consecutive pieces have the same value on the adjacent sides.



# The Knapsack Problem



# The Knapsack Problem

**Input:** Weight of N items  $\{w_1, w_2, \dots, w_n\}$

Cost of N items  $\{c_1, c_2, \dots, c_n\}$

Knapsack limit S

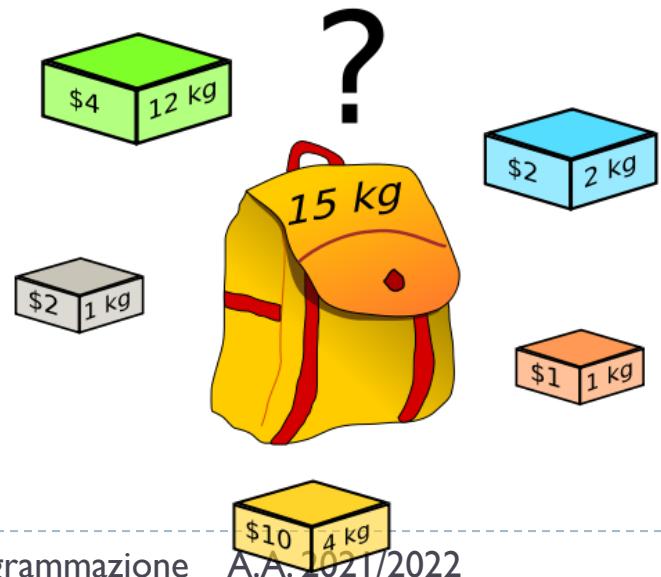
**Output:** Selection for knapsack:  $\{x_1, x_2, \dots, x_n\}$   
where  $x_i \in \{0,1\}$ .

**Sample input:**

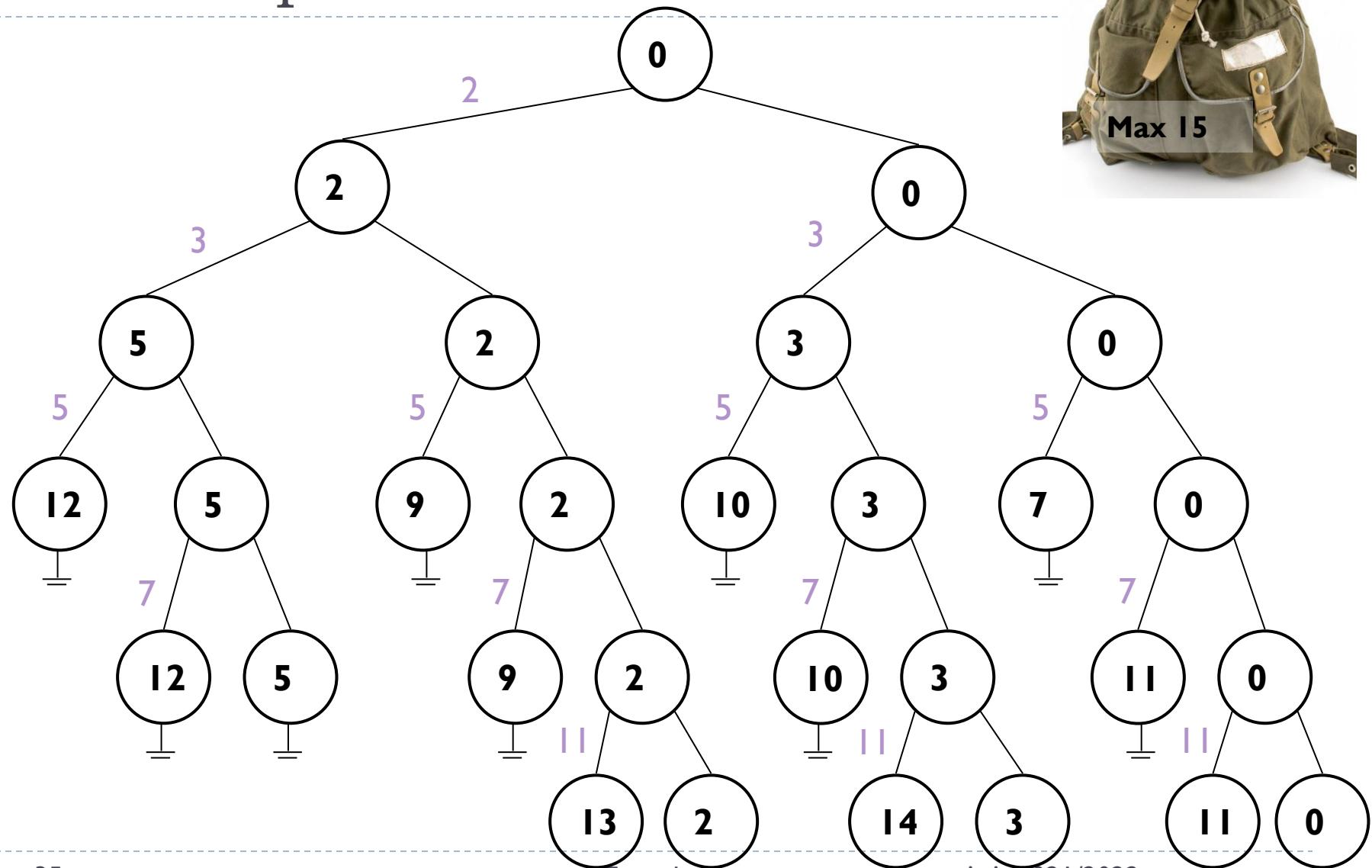
$$w_i = \{1, 1, 2, 4, 12\}$$

$$c_i = \{1, 2, 2, 10, 4\}$$

$$S = 15$$



# The Knapsack Problem



# Fibonacci Numbers

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- ▶ **Problem:**
  - ▶ Compute the N-th Fibonacci Number
- ▶ **Definition:**
  - ▶  $\text{FIB}_{N+1} = \text{FIB}_N + \text{FIB}_{N-1}$       for  $N > 0$
  - ▶  $\text{FIB}_1 = 1$
  - ▶  $\text{FIB}_0 = 0$

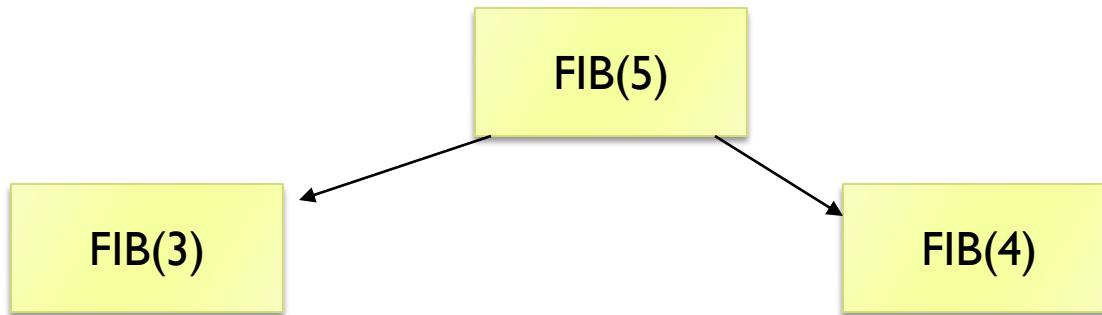
# Recursive solution

```
public long recursiveFibonacci(long N) {  
    if(N==0)  
        return 0 ;  
    if(N==1)  
        return 1 ;  
  
    long left = recursiveFibonacci(N-1) ;  
    long right = recursiveFibonacci(N-2) ;  
  
    return left + right ;  
}
```

Fib(0)	=	0
Fib(1)	=	1
Fib(2)	=	1
Fib(3)	=	2
Fib(4)	=	3
Fib(5)	=	5

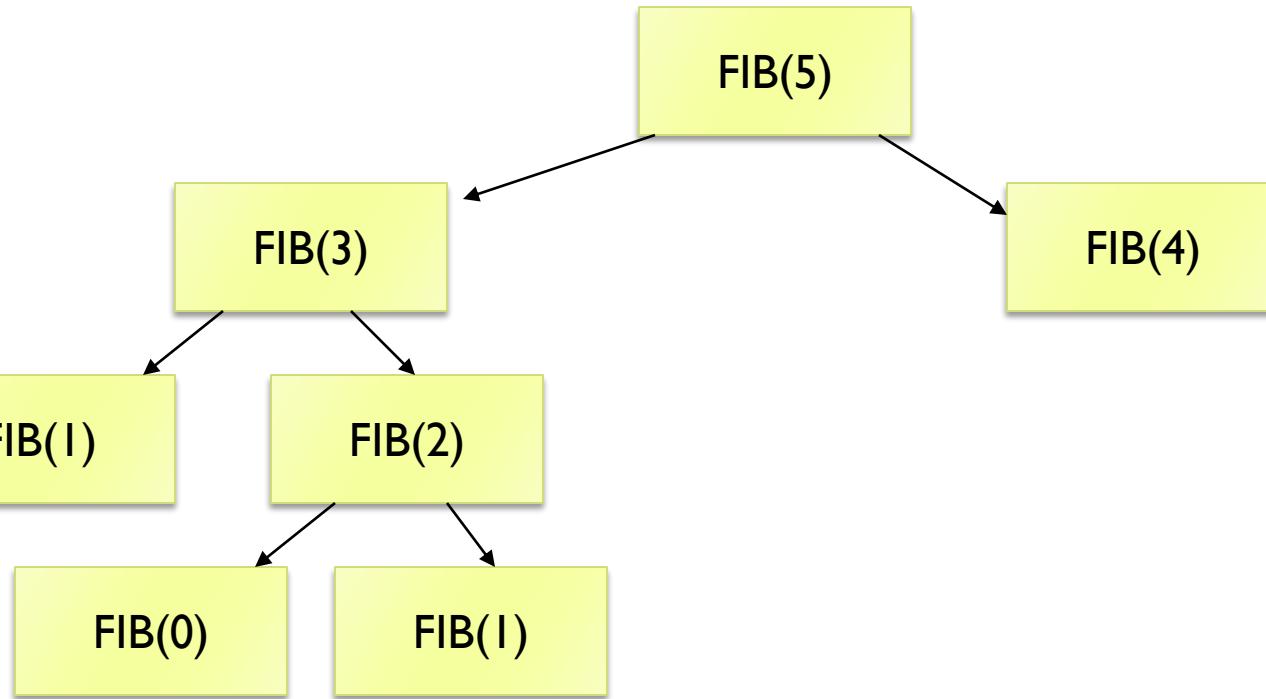
# Analysis

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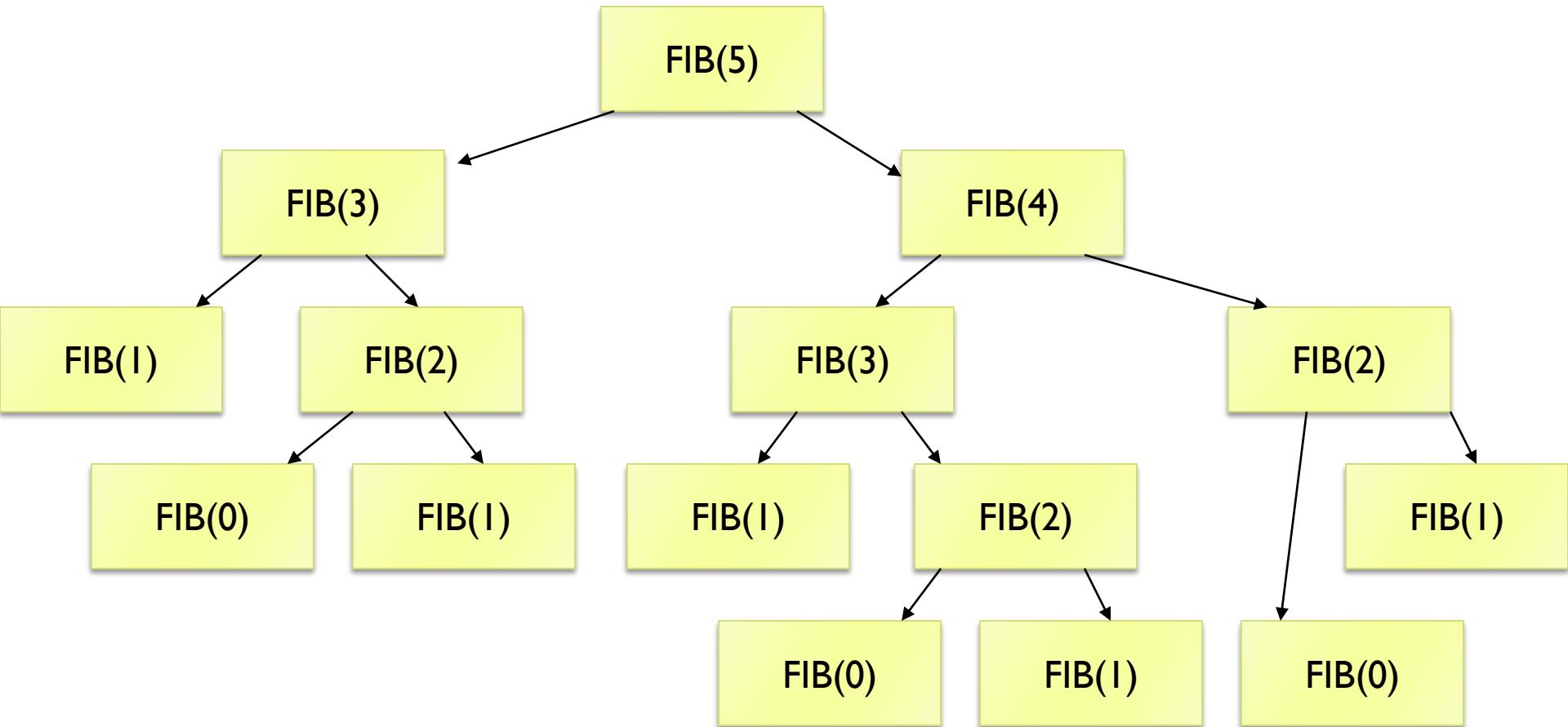


# Analysis

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# Analysis



# Exercise: Value X

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- ▶ When working with Boolean functions, we often use the symbol X, meaning that a given variable may have indifferently the value 0 or 1.
- ▶ Example: in the OR function, the result is 1 when the inputs are 01, 10 or 11. More compactly, if the inputs are X1 or 1X.

# X-Expansion

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- ▶ We want to devise an algorithm that, given a binary string that includes characters 0, 1 and X, will compute all the possible combinations implied by the given string.
- ▶ Example: given the string 01X0X, algorithm must compute the following combinations
  - ▶ 01000
  - ▶ 01001
  - ▶ 01100
  - ▶ 01101

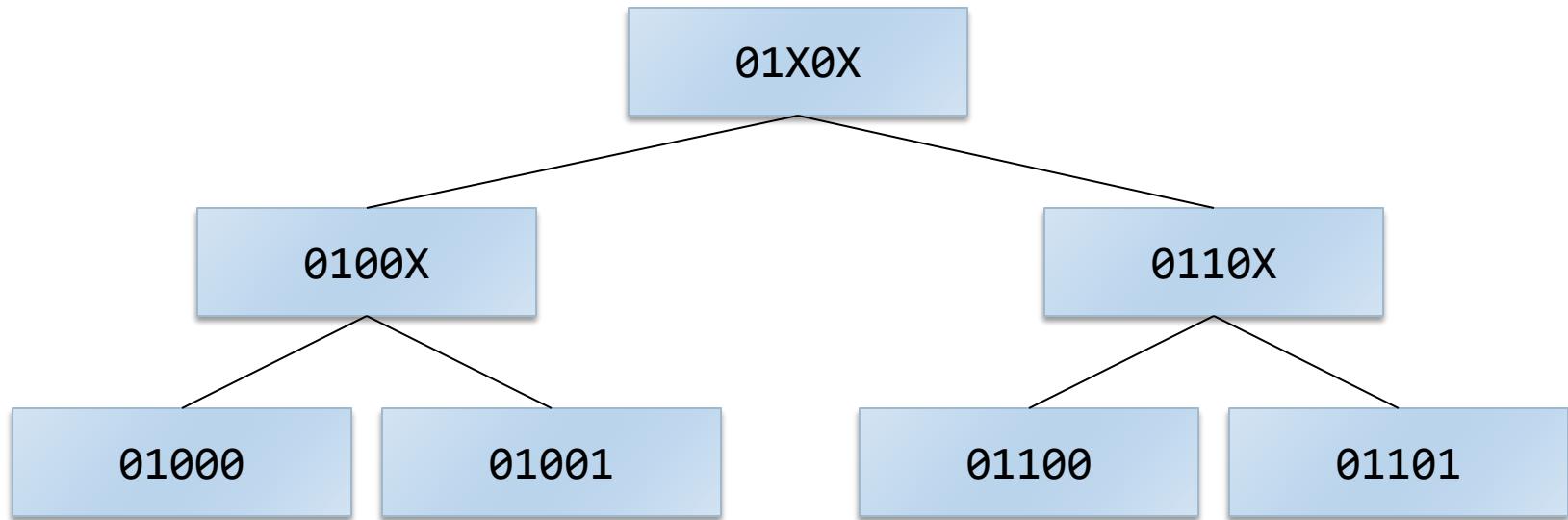
# Solution

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- ▶ We may devise a recursive algorithm that explores the complete ‘tree’ of possible compatible combinations:
  - ▶ Transforming each X into a 0, and then into a 1
  - ▶ For each transformation, we recursively seek other X in the string
- ▶ The number of final combinations (leaves of the tree) is equal to  $2^N$ , if N is the number of X.
- ▶ The tree height is  $N+1$ .

# Combinations tree

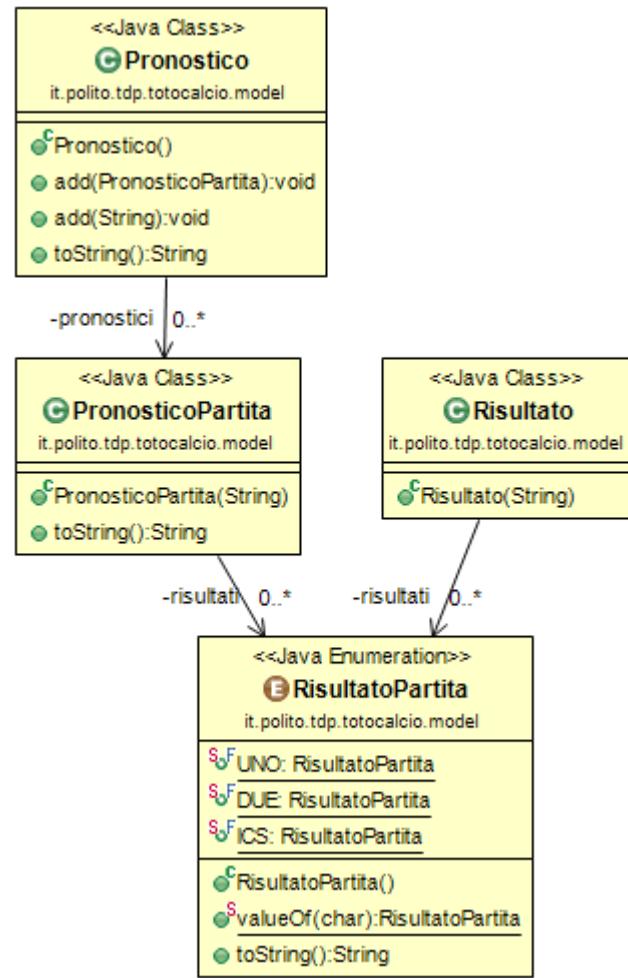
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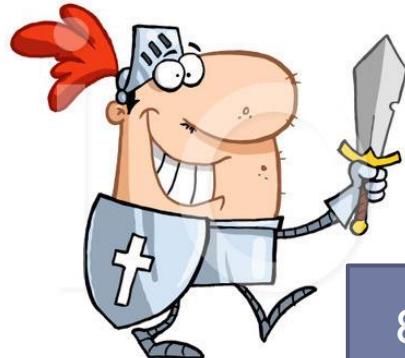


# Esercizio: Schedina Totocalcio



# Classi

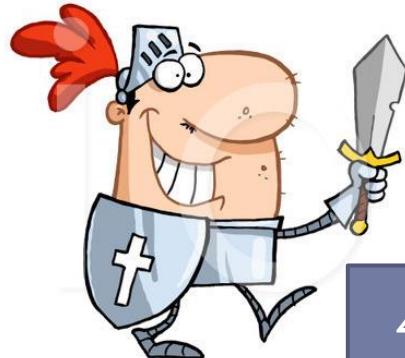




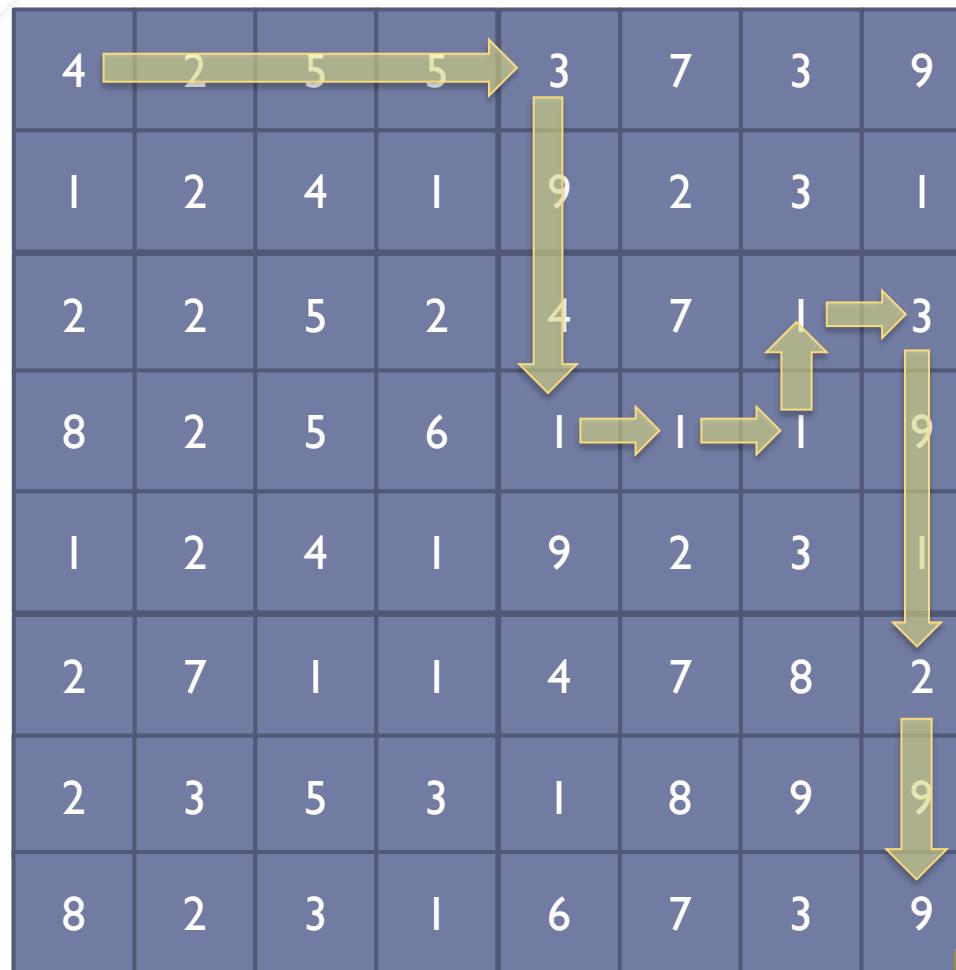
You beat the monster, if the sum of the scores of your squares is exactly 50

8	2	5	5	6	7	3	9
1	2		1	9	2	3	1
2	2	5	2	4	7	9	7
8	2	5	6	6	6	3	9
1	2	4	1	2	3	2	9
2	7	1	1	4	7	8	
2	3	5	3	1	8	9	
8	2	3	1	6	7	3	9





You must reach the treasure. On each cell, you **\*must\*** move of the number of steps indicated in the cell (in any direction).



# Exercise: Binomial Coefficient

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- ▶ Compute the Binomial Coefficient  $(n m)$  exploiting the recurrence relations (derived from Tartaglia's triangle):

$$\begin{cases} \binom{n}{m} = \binom{n-1}{m-1} + \binom{n-1}{m} \\ \binom{n}{n} = \binom{n}{0} = 1 \\ 0 \leq n, \quad 0 \leq m \leq n \end{cases}$$

# Exercise: Determinant

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- ▶ Compute the determinant of a square matrix
- ▶ Remind that:
  - ▶  $\det(M_{|x|}) = m_{|,|}$
  - ▶  $\det(M_{NxN}) = \text{sum of the products of all elements of a row (or column), times the determinants of the } (N-1) \times (N-1) \text{ sub-matrices obtained by deleting the row and column containing the multiplying element, with alternating signs } (-1)^{(i+j)}.}$

$$\det(A) = \sum_{j=1}^n (-1)^{i+j} a_{i,j} M_{i,j} = \sum_{i=1}^n (-1)^{i+j} a_{i,j} M_{i,j}.$$

Laplace's Formula, at  
<http://en.wikipedia.org/wiki/Determinant>



# Recursive vs Iterative strategies

Recursion

# Recursion and iteration

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- ▶ Every **recursive** program can **always** be implemented in an **iterative** manner
- ▶ The best solution, in terms of efficiency and code clarity, depends on the problem

# Example: Factorial (iterative)

$$\begin{cases} 0! \stackrel{\text{def}}{=} 1 \\ \forall N \geq 1: \\ N! \stackrel{\text{def}}{=} N \times (N-1)! \end{cases}$$

```
public long iterativeFactorial(long N)
{
    long result = 1 ;

    for (long i=2; i<=N; i++)
        result = result * i ;

    return result ;
}
```

# Fibonacci (iterative)

```
public long iterativeFibonacci(long N) {  
    if(N==0) return 0 ;  
    if(N==1) return 1 ;  
  
    // now we know that N >= 2  
    long i = 2 ;  
    long fib1 = 1 ; // fib(N-1)  
    long fib2 = 0 ; // fib(N-1)  
  
    while( i<=N ) {  
        long fib = fib1 + fib2 ;  
        fib2 = fib1 ;  
        fib1 = fib ;  
        i++ ;  
    }  
  
    return fib1 ;  
}
```

# Example: dichotomic search

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- ▶ **Problem**
  - ▶ Determine whether an element  $x$  is **present** inside an ordered **vector  $v[N]$**
- ▶ **Approach**
  - ▶ Divide the vector in two halves
  - ▶ Compare the middle element with  $x$
  - ▶ Reapply the problem over one of the two halves (left or right, depending on the comparison result)
  - ▶ The other half may be ignored, since the vector is ordered

# Example

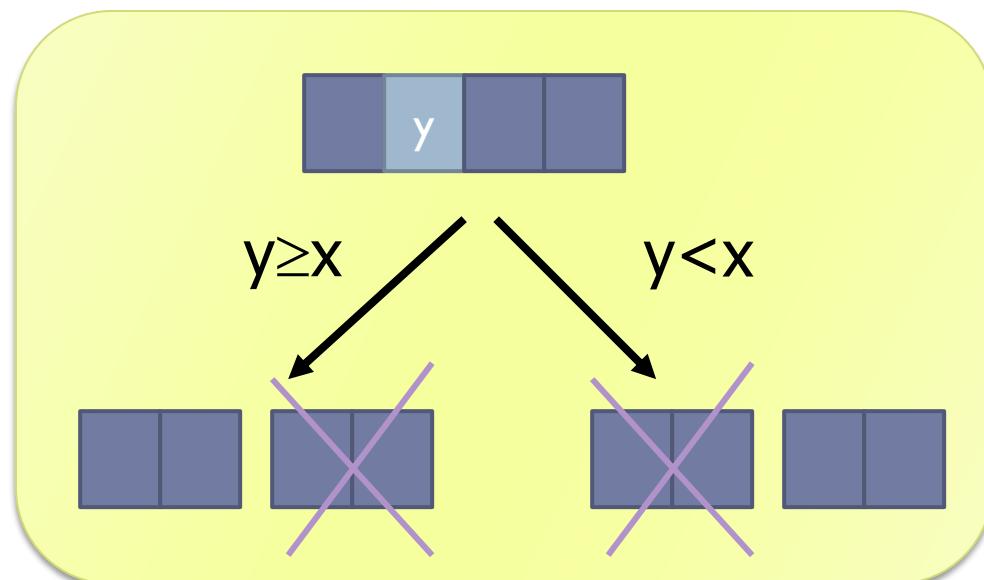
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# Example

v [ 1 3 4 6 8 9 11 12 ]

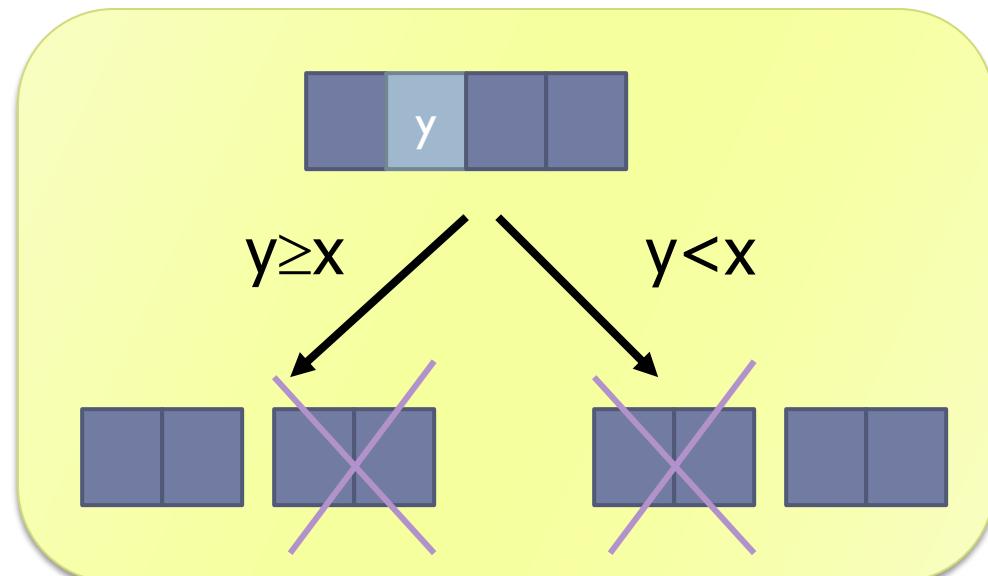
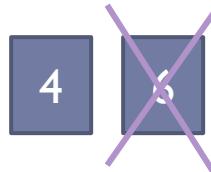
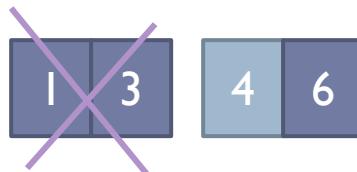
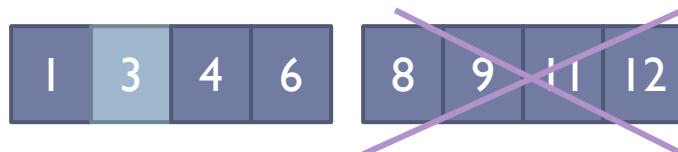
x 4



# Example



x 4



# Solution

```
public int find(int[] v, int a, int b, int x)
{
    if(b-a == 0) { // trivial case
        if(v[a]==x) return a ; // found
        else return -1 ;      // not found
    }

    int c = (a+b) / 2 ; // splitting point
    if(v[c] >= x)
        return find(v, a, c, x) ;
    else return find(v, c+1, b, x) ;
}
```

# Solution

```
public int find(int v[], int a, int b, int x) {  
    if(b-a <= 1) {  
        if(v[a] >= x)  
            return a;  
        else if(v[b] >= x)  
            return b;  
        else return -1;  
    }  
  
    int c = (a+b) / 2; // floating point  
    if(v[c] >= x)  
        return find(v, a, c, x) ;  
    else return find(v, c+1, b, x) ;  
}
```

Beware of integer-arithmetic approximations!

# Alternative: iterative solution

BINARY SEARCH			Array	Divide and Conquer
Best	Average	Worst		
O (1)	O ( $\log n$ )	O ( $\log n$ )		
<b>search (A, t)</b>			<b>search (A, 11)</b>	
1. $low = 0$			<i>first pass</i> $low$ $ix$ $high$	
2. $high = n - 1$				
3. <b>while</b> ( $low \leq high$ ) <b>do</b>			<i>second pass</i> $low$ $ix$ $high$	
4. $ix = (low + high)/2$				
5. <b>if</b> ( $t = A[ix]$ ) <b>then</b>			<i>third pass</i> $low$ $ix$ $high$	
6. <b>return true</b>				
7. <b>else if</b> ( $t < A[ix]$ ) <b>then</b>				
8. $high = ix - 1$				
9. <b>else</b> $low = ix + 1$				
10. <b>return false</b>				
<b>end</b>				

# Dichotomic search (iterative)

```
public int findIterative(int[] v, int x) {  
    int a = 0 ;  
    int b = v.length-1 ;  
  
    while( a != b ) {  
        int c = (a + b) / 2; // middle point  
        if (v[c] >= x) {  
            // v[c] is too large -> search left  
            b = c ;  
        } else {  
            // v[c] is too small -> search right  
            a = c+1 ;  
        }  
    }  
    if (v[a] == x)  
        return a;  
    else  
        return -1;  
}
```

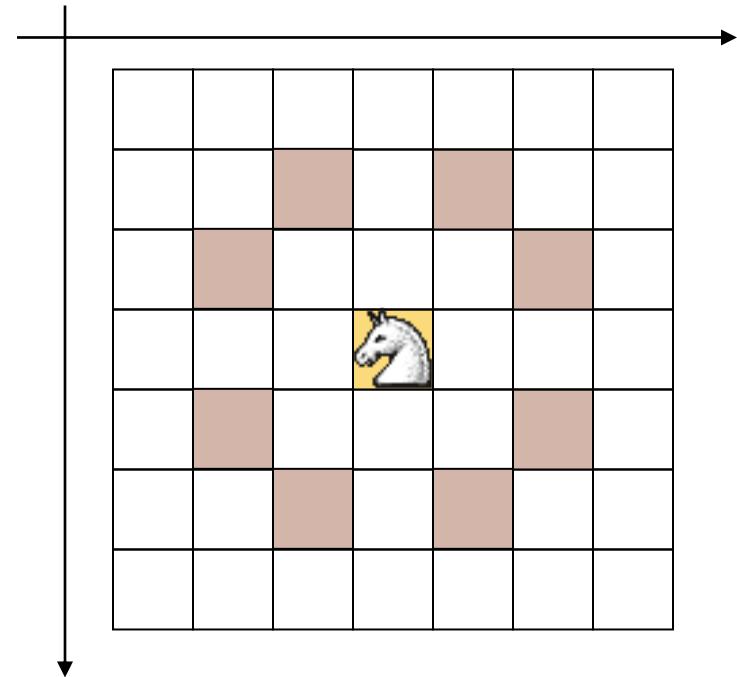


# More complex examples of recursive algorithms

Recursion

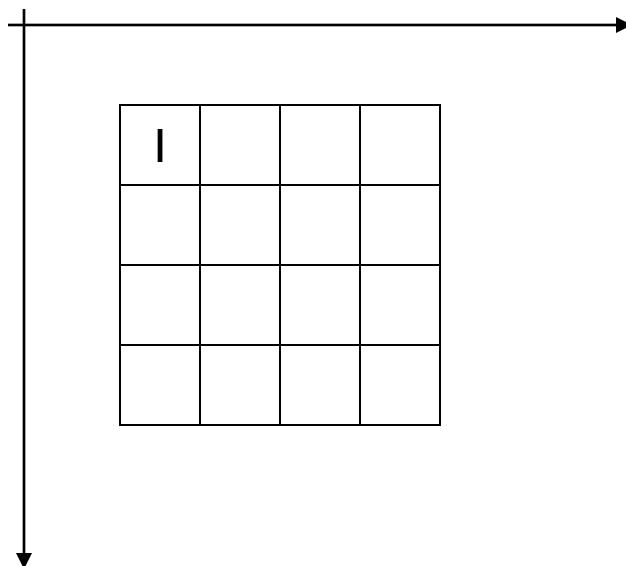
# Knight's tour

- ▶ Consider a NxN chessboard, with the Knight moving according to Chess rules
  - ▶ The Knight may move in 8 different cells
- ▶ We want to find a **sequence** of moves for the Knight where
  - ▶ All cells in the chessboard are visited
  - ▶ Each cell is touched exactly **once**
- ▶ The starting point is arbitrary



# Analysis

▶ Assume N=4



# Move 1

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I			

Level of the next move  
to try

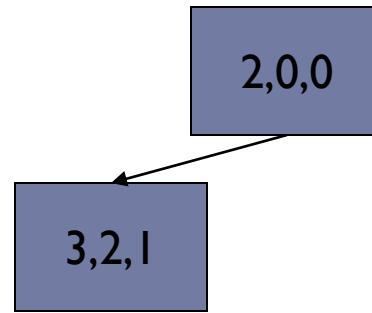
2,0,0

Coordinates of the last  
move

# Move 2

---

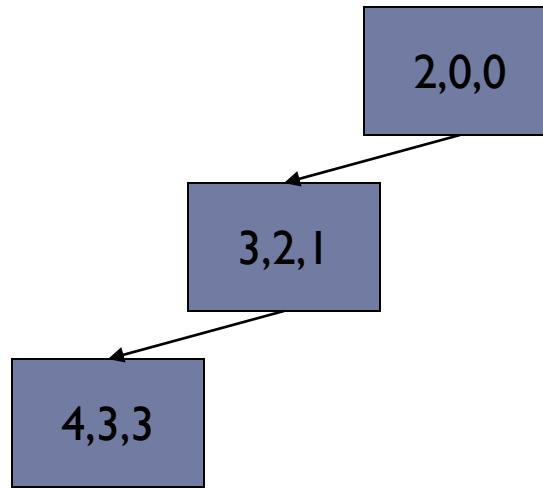
1			
	2		



# Move 3

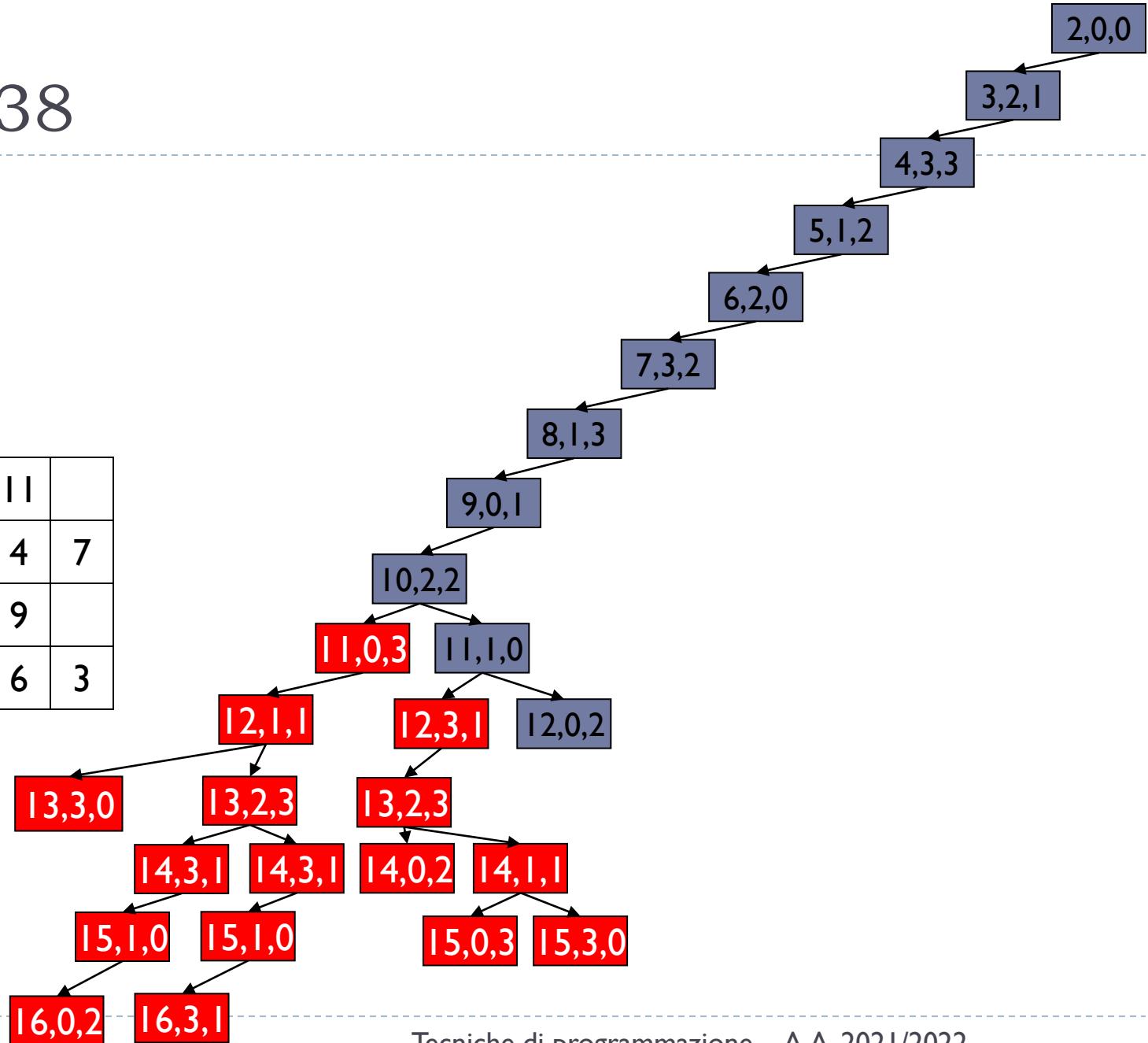
---

1			
	2		
			3



# Move 38

1	8	11	
10		4	7
5	2	9	
		6	3

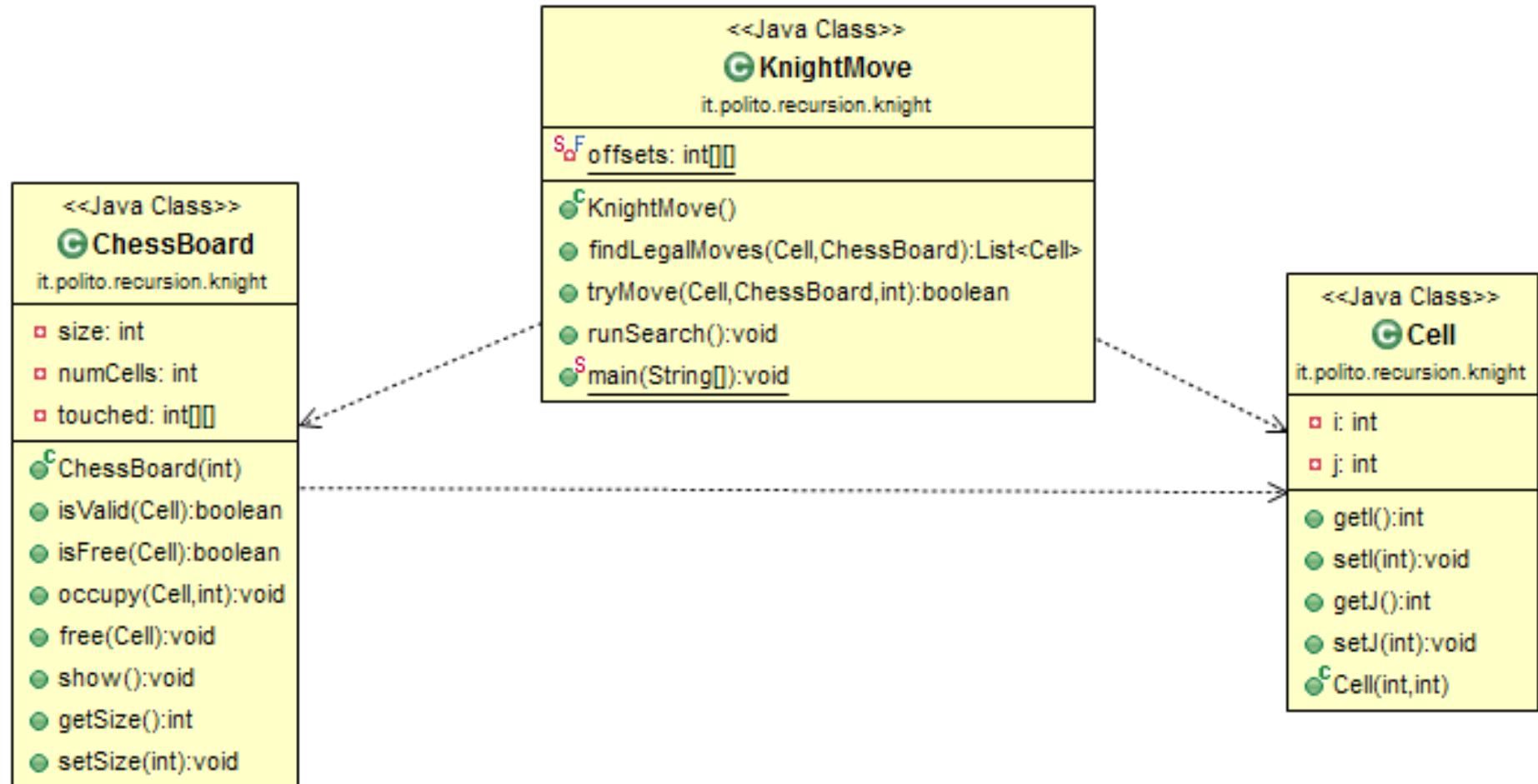


# Complexity

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- ▶ The number of possible moves, at each step, is at most 8.
- ▶ The number of steps is  $N^2$ .
- ▶ The solution tree has a number of nodes  $\leq 8^{N^2}$ .
- ▶ In the worst case
  - ▶ The solution is in the right-most leave of the solution tree
  - ▶ The tree is complete
- ▶ The number of recursive calls, in the worst case, is therefore  $\Theta(8^{N^2})$ .

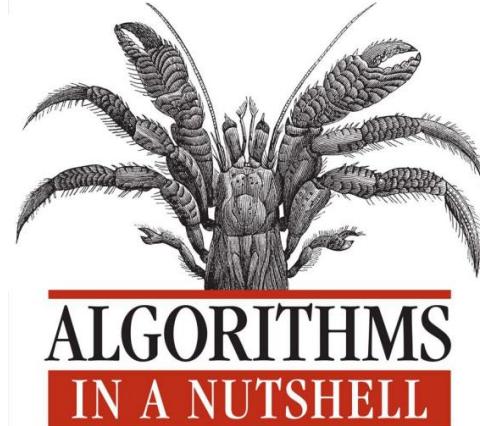
# Implementation



# Resources

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- ▶ Algorithms in a Nutshell, By George T. Heineman, Gary Pollice, Stanley Selkow, O'Reilly Media



O'REILLY®

*George T. Heineman,  
Gary Pollice & Stanley Selkow*

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