



ArrayList vs. LinkedList

	ArrayList	LinkedList
add(element)	IMMEDIATE	IMMEDIATE
remove(object)	SLUGGISH	IMMEDIATE
get(index)	IMMEDIATE	SLUGGISH
set(index, element)	IMMEDIATE	SLUGGISH
add(index, element)	SLUGGISH	SLUGGISH
remove(index)	SLUGGISH	SLUGGISH
contains(object)	SLUGGISH	SLUGGISH
indexOf(object)	SLUGGISH	SLUGGISH

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Computational complexity

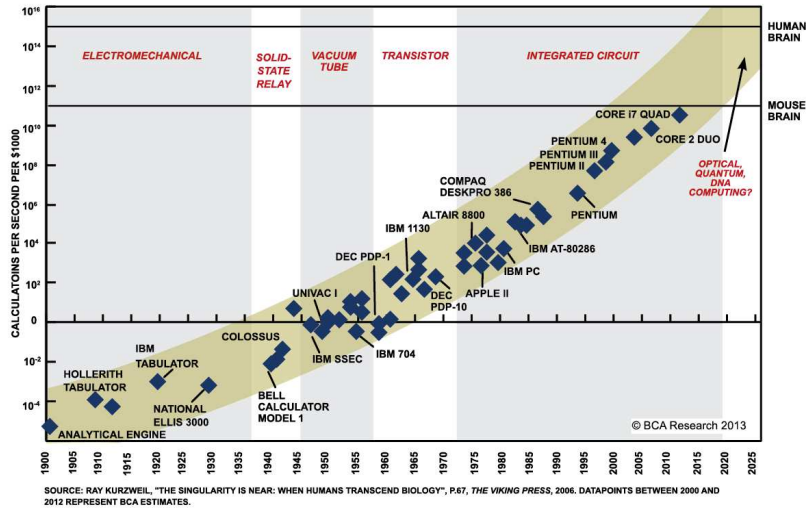
How to measure the difficulty of a problem

How to Measure Efficiency?

- ▶ **Critical resources**
 - ▶ programmer's effort
 - ▶ time, space (disk, RAM)
- ▶ **Analysis**
 - ▶ empirical (run programs)
 - ▶ analytical (asymptotic algorithm analysis)
- ▶ **Worst case vs. Average case**



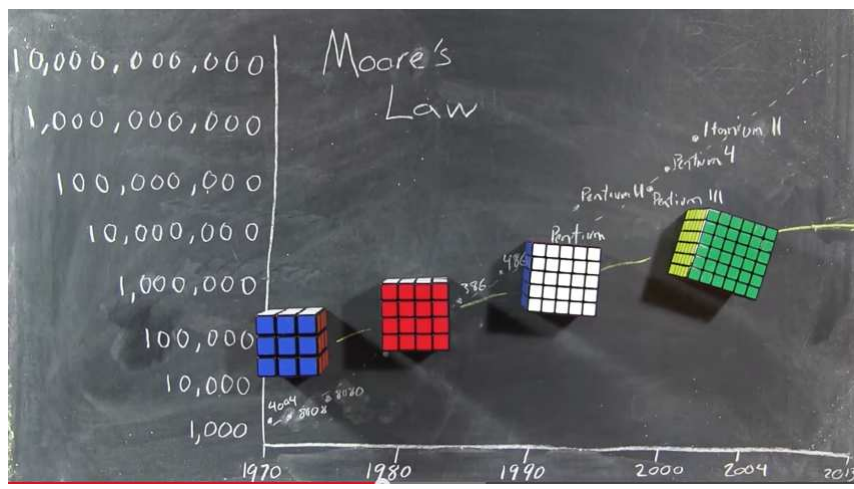
Moore's "Law"?



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Moore's "Law"?



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Sudoku

5	3		7						
6			1	9	5				
	9	8					6		
8			6						
4		8		3					
7			2						
6					2	8			
		4	1	9					
			8						7

			F	L		P	J	S			H	Y	R				T	Q	O				
		X	M			T						J	P	U	B		C	S					
	D	B	G	P		F	R			L	A	G	O			T	X	Q	Y	V	A	E	
		I		N		K	Q	I	M		S	F		O	V		Y	B		L	W		
			V				S		G					B		I	L	Y	K	D			
							Q			Y	U				E	A	B	W					
		P			M	A	N	R	K				F	S		Q	G						
	H	D	U	J	F	X		B	K		W						N	E	C				
								P	R	M	T	D	C	L	U	I	J						
		N	K	H						P	M	C	O	R			G	Q					
	Q	B			V		X	I	J			S	K			M	A	T					
	U	D			W	C		L	G	K	A	Q	Y	H		B	F	C					
		X	I	A	S	N	H			O	U				B	F	C						
	G	J		W	L	U	Q				V		R	E	I	X							
		M	N					I	D	Q	K	G	S	P	U	F							
	B		H	P	D			F	Y	A	L	I			M								
	A		Y	C		J	U		G	F													
		I		V	C		N	W	O	V	B				T	S	D						
		C	V	R	L	T	P	N				O	A	M	I	Y	K						
	T		O	I			N	J	C	R			V										
	Y	N	U		B				Q	X		W			P	C	O						
		W	M	U	C		V	B	P	I		H	F	D	K	Q							
	C		G			T	E		M		O	L			V	X							
	K	X		V	R	J		F		H			Q	U		T	B						

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Problems and Algorithms

- ▶ We know the efficiency of the solution
- ▶ ... but what about the difficulty of the problem?
- ▶ Different concepts
 - ▶ Algorithm complexity
 - ▶ Problem complexity



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Analytical Approach

- ▶ An algorithm is a mapping
- ▶ For most algorithms, running time depends on “size” of the input
- ▶ Running time is expressed as $T(n)$
 - ▶ some function T
 - ▶ input size n



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Bubble sort

6	1	2	3	4	5	unsorted
6	1	2	3	4	5	6 > 1, swap
1	6	2	3	4	5	6 > 2, swap
1	2	6	3	4	5	6 > 3, swap
1	2	3	6	4	5	6 > 4, swap
1	2	3	4	6	5	6 > 5, swap
1	2	3	4	5	6	1 < 2, ok
1	2	3	4	5	6	2 < 3, ok
1	2	3	4	5	6	3 < 4, ok
1	2	3	4	5	6	4 < 5, ok
1	2	3	4	5	6	sorted

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Analysis

- ▶ The bubble sort takes $(n^2-n)/2$ “steps”
- ▶ Different implementations/assembly languages
 - ▶ Program A on an Intel Pentium IV: $T(n) = 58*(n^2-n)/2$
 - ▶ Program B on a Motorola: $T(n) = 84*(n^2-2n)/2$
 - ▶ Program C on an Intel Pentium V: $T(n) = 44*(n^2-n)/2$
- ▶ Note that each has an n^2 term
 - ▶ as n increases, the other terms will drop out



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Analysis

- ▶ As a result:
 - ▶ Program A on Intel Pentium IV: $T(n) \approx 29n^2$
 - ▶ Program B on Motorola: $T(n) \approx 42n^2$
 - ▶ Program C on Intel Pentium V: $T(n) \approx 22n^2$



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Analysis

- ▶ As processors change, the constants will always change
 - ▶ The exponent on n will not
 - ▶ We should not care about the constants
- ▶ As a result:
 - ▶ Program A: $T(n) \approx n^2$
 - ▶ Program B: $T(n) \approx n^2$
 - ▶ Program C: $T(n) \approx n^2$
- ▶ Bubble sort: $T(n) \approx n^2$

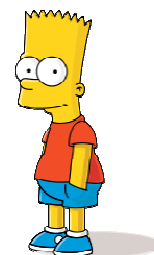


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Intuitive motivations

- ▶ Asymptotic notation captures behavior of functions for large values of x .
- ▶ Dominant term of $3x^3 + 5x^2 - 9$ is $3x^3$
- ▶ As x becomes larger and larger, other terms become insignificant and only $3x^3$ remains in the picture

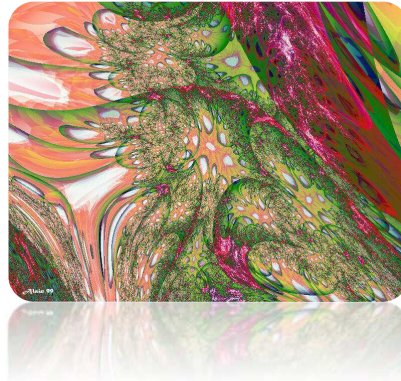


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Complexity Analysis

- ▶ $O(\cdot)$
 - ▶ big o (big oh)
- ▶ $\Omega(\cdot)$
 - ▶ big omega
- ▶ $\Theta(\cdot)$
 - ▶ big theta



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$O(\cdot)$

- ▶ Upper Bounding Running Time
- ▶ Why?
 - ▶ Little-oh
 - ▶ “Order of”
 - ▶ D’Oh

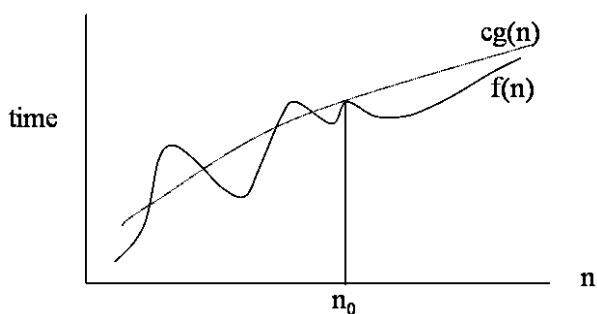


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Upper Bounding Running Time

- ▶ $f(n)$ is $O(g(n))$ if f grows “at most as fast as” g



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Big-O (formal)

- ▶ Let f and g be two functions such that

$$f(n): N \rightarrow R^+ \text{ and } g(n): N \rightarrow R^+$$

- ▶ if there exists positive constants c and n_0 such that

$$f(n) \leq cg(n), \text{ for all } n > n_0$$

- ▶ then we can write

$$f(n) = O(g(n))$$

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Big-O (formal alt)

- ▶ Let f and g be two functions such that

$$f(n): N \rightarrow R^+ \text{ and } g(n): N \rightarrow R^+$$

- ▶ if there exists positive constants c and n_0 such that

$$0 \leq \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c < \infty$$

- ▶ then we can write

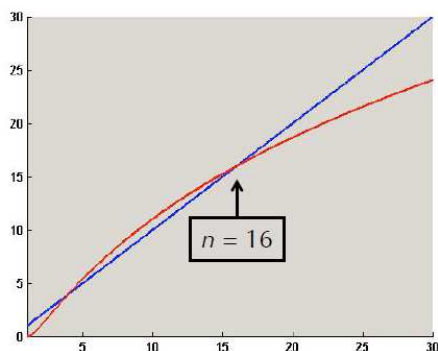
$$f(n) = O(g(n))$$

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Example

- ▶ $(\log n)^2 = O(n)$



$$f(n) = (\log n)^2$$

$$g(n) = n$$

$(\log n)^2 \leq n$ for all $n \geq 16$, so $(\log n)^2$ is $O(n)$

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Notational Issues

- ▶ Big-O notation is a way of comparing functions
- ▶ Notation quite unconventional
 - ▶ e.g., $3x^3 + 5x^2 - 9 = O(x^3)$
- ▶ Doesn't mean
 - ▶ “ $3x^3 + 5x^2 - 9$ equals the function $O(x^3)$ ”
 - ▶ “ $3x^3 + 5x^2 - 9$ is big oh of x^3 ”
- ▶ But
 - ▶ “ $3x^3+5x^2 -9$ is dominated by x^3 ”

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Common Misunderstanding

- ▶ $3x^3 + 5x^2 - 9 = O(x^3)$
- ▶ However, also true are:
 - ▶ $3x^3 + 5x^2 - 9 = O(x^4)$
 - ▶ $x^3 = O(3x^3 + 5x^2 - 9)$
 - ▶ $\sin(x) = O(x^4)$
- ▶ Note:
 - ▶ Usage of big-O typically involves mentioning only the most dominant term
 - ▶ “The running time is $O(x^{2.5})$ ”

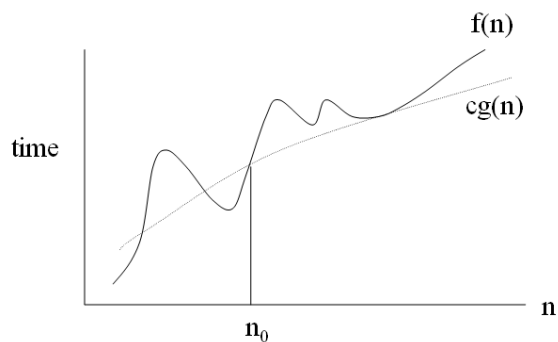


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Lower Bounding Running Time

- ▶ $f(n)$ is $\Omega(g(n))$ if f grows “at least as fast as” g



- ▶ $cg(n)$ is an approximation to $f(n)$ bounding from below

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Big-Omega (formal)

- ▶ Let f and g be two functions such that

$$f(n): N \rightarrow R^+ \text{ and } g(n): N \rightarrow R^+$$

- ▶ if there exists positive constants c and n_0 such that

$$f(n) \geq cg(n), \text{ for all } n > n_0$$

- ▶ then we can write

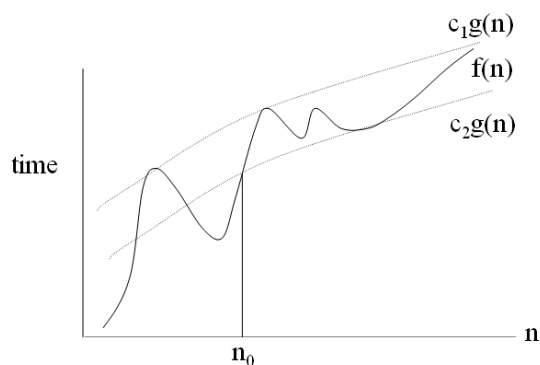
$$f(n) = \Omega(g(n))$$

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Tightly Bounding Running Time

- ▶ $f(n)$ is $\Theta(g(n))$ if f is essentially the same as g , to within a constant multiple



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Big-Theta (formal)

- ▶ Let f and g be two functions such that

$$f(n): N \rightarrow R^+ \text{ and } g(n): N \rightarrow R^+$$

- ▶ if there exists positive constants c_1 , c_2 and n_0 such that

$$c_1g(n) \leq f(n) \leq c_2g(n), \text{ for all } n > n_0$$

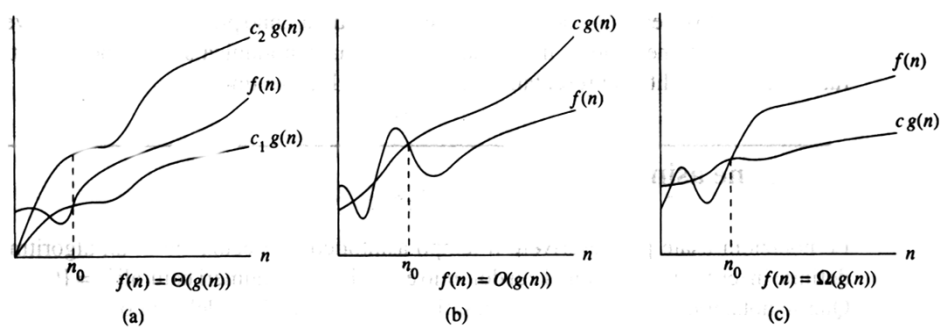
- ▶ then we can write

$$f(n) = \Theta(g(n))$$

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Big- Θ , Big-O, and Big- Ω



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Big- Ω and Big- Θ

- ▶ Big- Ω : reverse of big-O. I.e.

$$f(x) = \Omega(g(x))$$

iff

$$g(x) = O(f(x))$$

- ▶ so $f(x)$ asymptotically dominates $g(x)$

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Big-Ω and Big-Θ

- ▶ Big-Θ: domination in both directions. I.e.

$$f(x) = \Theta(g(x))$$

iff

$$f(x) = O(g(x)) \ \&\& \ f(x) = \Omega(g(x))$$

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Problem

- ▶ Order the following from smallest to largest asymptotically. Group together all functions which are big-Θ of each other:

$$x + \sin x, \ln x, x + \sqrt{x}, \frac{1}{x}, 13 + \frac{1}{x}, 13 + x, e^x, x^e, x^x$$

$$(x + \sin x)(x^{20} - 102), x \ln x, x(\ln x)^2, \lg_2 x$$

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Solution

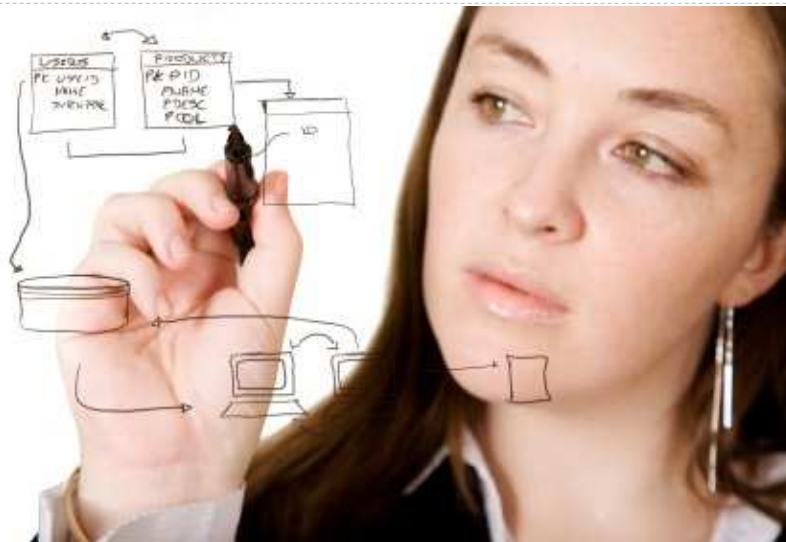
$1/x$
 $13+1/x$
 $\ln x \lg_2 x$
 $x + \sin x, \cos x$
 $x \ln x$
 $x(\ln x)^2$
 x^e
 $(x + \sin x)(x^{20} - 102)$
 e^x
 x^x



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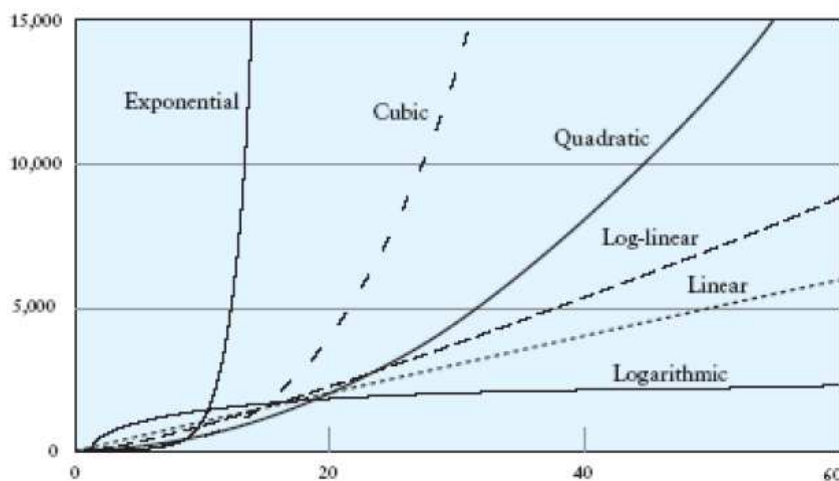
Practical approach



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Practical approach



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Class	Complexity	Number of Operations and Execution Time (1 instr/μsec)					
		10		10 ²		10 ³	
<i>n</i>							
constant	$O(1)$	1	1 μsec	1	1 μsec	1	1 μsec
logarithmic	$O(\lg n)$	3.32	3 μsec	6.64	7 μsec	9.97	10 μsec
linear	$O(n)$	10	10 μsec	10 ²	100 μsec	10 ³	1 msec
$O(n \lg n)$	$O(n \lg n)$	33.2	33 μsec	664	664 μsec	9970	10 msec
quadratic	$O(n^2)$	10 ²	100 μsec	10 ⁴	10 msec	10 ⁶	1 sec
cubic	$O(n^3)$	10 ³	1 msec	10 ⁶	1 sec	10 ⁹	16.7 min
exponential	$O(2^n)$	1024	10 msec	10 ³⁰	3.17 * 10 ¹⁷ yrs	10 ³⁰¹	
<i>n</i>		10 ⁴		10 ⁵		10 ⁶	
constant	$O(1)$	1	1 μsec	1	1 μsec	1	1 μsec
logarithmic	$O(\lg n)$	13.3	13 μsec	16.6	7 μsec	19.93	20 μsec
linear	$O(n)$	10 ⁴	10 msec	10 ⁵	0.1 sec	10 ⁶	1 sec
$O(n \lg n)$	$O(n \lg n)$	133 * 10 ³	133 msec	166 * 10 ⁴	1.6 sec	199.3 * 10 ⁵	20 sec
quadratic	$O(n^2)$	10 ⁸	1.7 min	10 ¹⁰	16.7 min	10 ¹²	11.6 days
cubic	$O(n^3)$	10 ¹²	11.6 days	10 ¹⁵	31.7 yr	10 ¹⁸	31,709 yr
exponential	$O(2^n)$	10 ³⁰¹⁰		10 ³⁰¹⁰³		10 ³⁰¹⁰³⁰	

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Class	Complexity	Number of Operations and Execution Time (1 instr/μsec)					
		10		10 ²		10 ³	
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logarithmic	$O(\lg n)$	3.32	3 μsec	6.64	7 μsec	9.97	10 μsec
linear	$O(n)$	10	10 μsec	10 ²	100 μsec	10 ³	1 msec
$O(n \lg n)$	$O(n \lg n)$	33.2	33 μsec	664	664 μsec	9970	10 msec
quadratic	$O(n^2)$	10 ²	100 μsec	10 ⁴	10 ⁴ μsec	10 ⁶	1 sec
cubic	$O(n^3)$	10 ³	1 msec	10 ⁶	1 sec	10 ⁹	16.7 min
exponential	$O(2^n)$	1024	10 msec	10 ³⁰	3.17 * 10 ¹⁷ yrs	10 ³⁰¹	


10 ⁵		10 ⁶	
1	1 μsec	1	1 μsec
16.6	7 μsec	19.93	20 μsec
10 ⁵	0.1 sec	10 ⁶	1 sec
166 * 10 ⁴	1.6 sec	199.3 * 10 ⁵	20 sec
10 ¹⁰	16.7 min	10 ¹²	11.6 days
10 ¹⁵	31.7 yr	10 ¹⁸	31,709 yr
10 ³⁰¹⁰³⁰		10 ³⁰¹⁰³⁰	



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Would it be possible?

Algorithm	Foo	Bar
Complexity	$O(n^2)$	$O(2^n)$
n = 100	10s	4s
n = 1000	12s	4.5s



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Determination of Time Complexity

- ▶ Because of the approximations available through Big-Oh , the actual $T(n)$ of an algorithm is not calculated
 - ▶ $T(n)$ may be determined empirically
- ▶ Big-Oh is usually determined by application of some simple 5 rules



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Rule #1

- ▶ Simple program statements are assumed to take a constant amount of time which is

$$O(1)$$

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Rule #2

- ▶ Differences in execution time of simple statements is ignored

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Rule #3

- ▶ In conditional statements the worst case is always used

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Rule #4 – the “sum” rule

- ▶ The running time of a sequence of steps has the order of the running time of the largest
- ▶ E.g.,
 - ▶ $f(n) = O(n^2)$
 - ▶ $g(n) = O(n^3)$
 - ▶ $f(n) + g(n) = O(n^3)$

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Rule #5 – the “product” rule

- ▶ If two processes are constructed such that second process is repeated a number of times for each n in the first process, then O is equal to the product of the orders of magnitude for both products
- ▶ E.g.,
 - ▶ For example, a two-dimensional array has one for loop inside another and each internal loop is executed n times for each value of the external loop.
 - ▶ The function is $O(n^2)$

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Nested Loops

```

for(int t=0; t<n; ++t) {
    for(int u=0; u<n; ++u) {
        ++zap;
    }
}

```

$O(n)$
 $O(1)$

▶ 43

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Nested Loops

```

for(int t=0; t<n; ++t) {
    for(int u=0; u<n; ++u) {
        ++zap;
    }
}

```

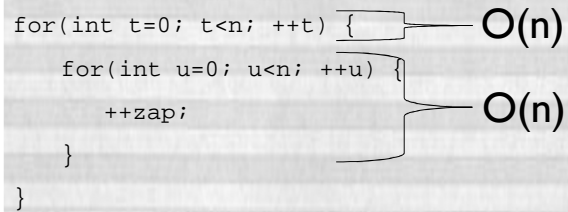
$O(n \cdot 1)$

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Nested Loops

```
for(int t=0; t<n; ++t) {  
    for(int u=0; u<n; ++u) {  
        ++zap;  
    }  
}
```

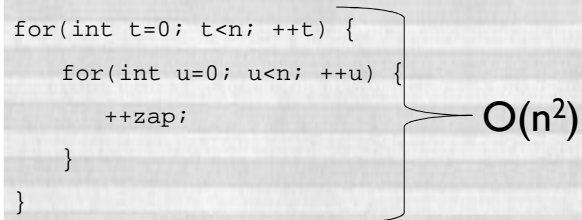


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Nested Loops

```
for(int t=0; t<n; ++t) {  
    for(int u=0; u<n; ++u) {  
        ++zap;  
    }  
}
```



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Nested Loops

- ▶ Note: Running time grows with nesting rather than the length of the code

```

for(int t=0; t<n; ++t) {
    for(int u=0; u<n; ++u) {
        ++zap;
    }
}

```

$O(n^2)$

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More Nested Loops

```

for(int t=0; t<n; ++t) {
    for(int u=t; u<n; ++u) {
        ++zap;
    }
}

```

$n-t$

$$\sum_{i=0}^{n-1} (n-i) = \frac{n(n-1)}{2} = \frac{n^2 - n}{2} = O(n^2)$$

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Sequential statements

```

for(int z=0; z<n; ++z) } O(n)
    zap[z] = 0;
for(int t=0; t<n; ++t) {
    for(int u=t; u<n; ++u) {
        ++zap;
    }
} } O(n2)

```

▶ Running time: $\max(O(n), O(n^2)) = O(n^2)$

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Conditionals

```

for(int t=0; t<n; ++t) {
    if(t%2) {
        for(int u=t; u<n; ++u) {
            ++zap;
        }
    } else {
        zap = 0;
    }
}

```

} O(n)

} O(1)

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Conditionals

```
for(int t=0; t<n; ++t) {  
    if(t%2) {  
        for(int u=t; u<n; ++u) {  
            ++zap;  
        }  
    } else {  
        zap = 0;  
    }  
}
```

$O(n^2)$

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Tips

- ▶ Focus only on the dominant (high cost) operations and avoid a line-by-line exact analysis
- ▶ Break algorithm down into “known” pieces
- ▶ Identify relationships between pieces
 - ▶ Sequential is additive
 - ▶ Nested (loop / recursion) is multiplicative
- ▶ Drop constants
- ▶ Keep only dominant factor for each variable

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Caveats

- ▶ Real time vs. complexity



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Caveats

- ▶ Real time vs. complexity
- ▶ CPU time vs. RAM vs. disk



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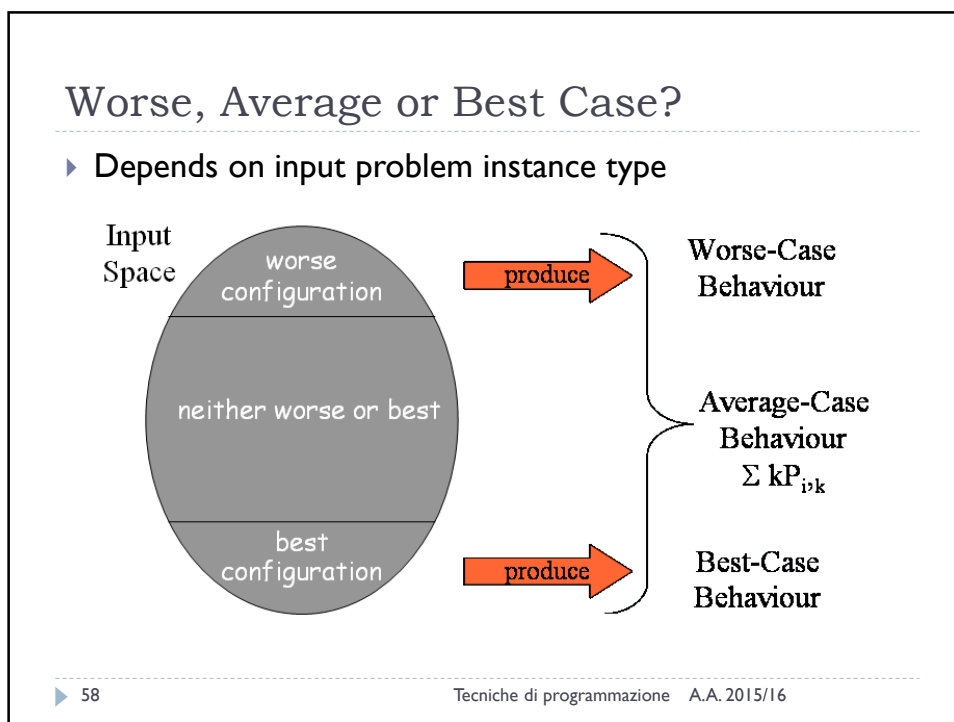
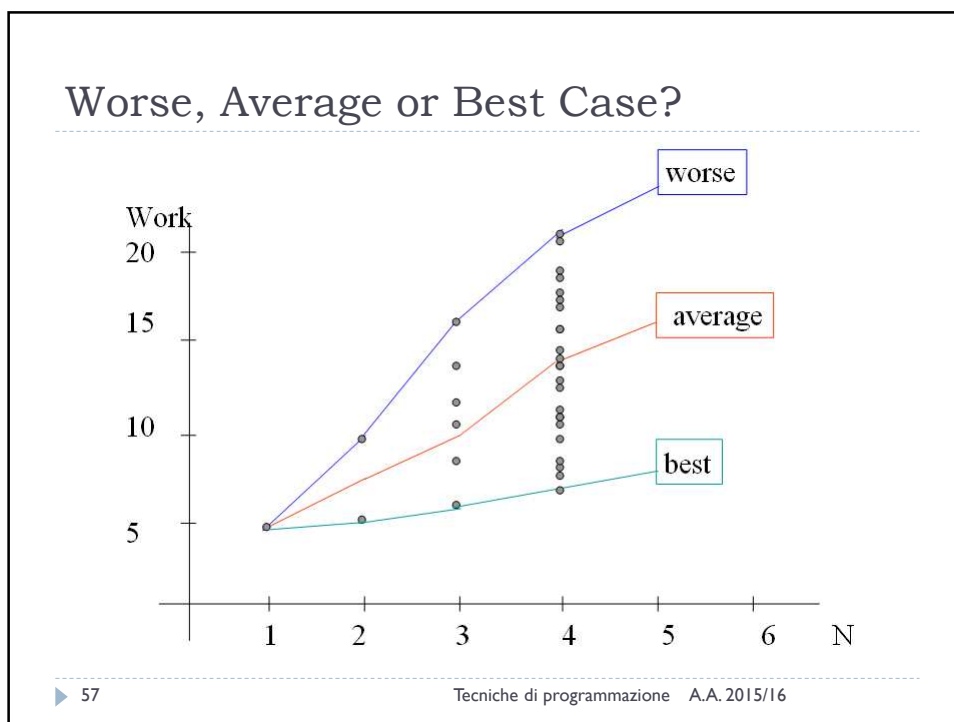
Caveats

- ▶ Real time vs. complexity
- ▶ CPU time vs. RAM vs. disk
- ▶ Worse, Average or Best Case?



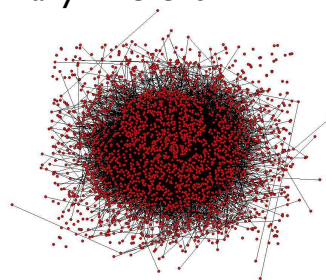
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Computational Complexity Theory

- ▶ In computer science, computational complexity theory is the branch of the theory of computation that studies the resources, or cost, of the computation required to solve a given computational problem
- ▶ Complexity theory analyzes the difficulty of computational problems in terms of many different computational resources



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Note

Solve a problem

vs.

Verify a solution

- ▶ E.g.,
 - ▶ Sort
 - ▶ Shortest path

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Complexity Classes

- ▶ A complexity class is the set of all of the computational problems which can be solved using a certain amount of a certain computational resource

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Deterministic Turing Machine

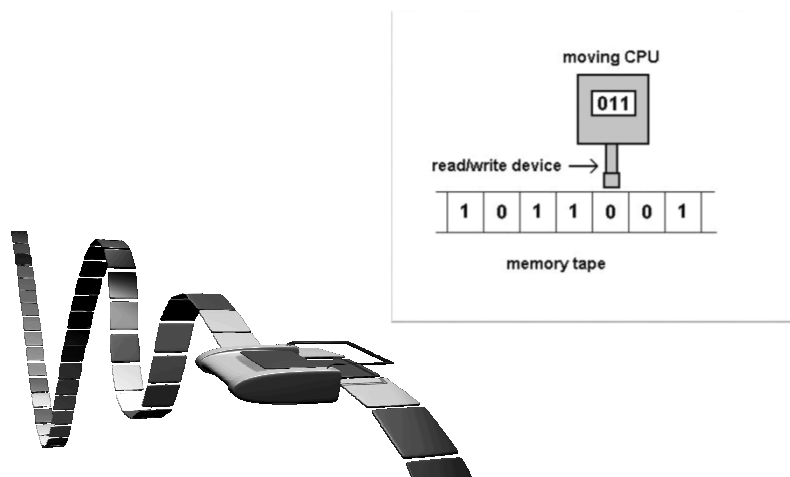
- ▶ Deterministic or Turing machines are extremely basic symbol-manipulating devices which — despite their simplicity — can be adapted to simulate the logic of any computer that could possibly be constructed
- ▶ Described in 1936 by Alan Turing.
 - ▶ Not meant to be a practical computing technology
 - ▶ Technically feasible
 - ▶ A thought experiment about the limits of mechanical computation



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Deterministic Turing Machine

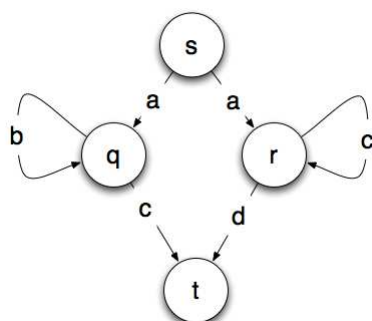


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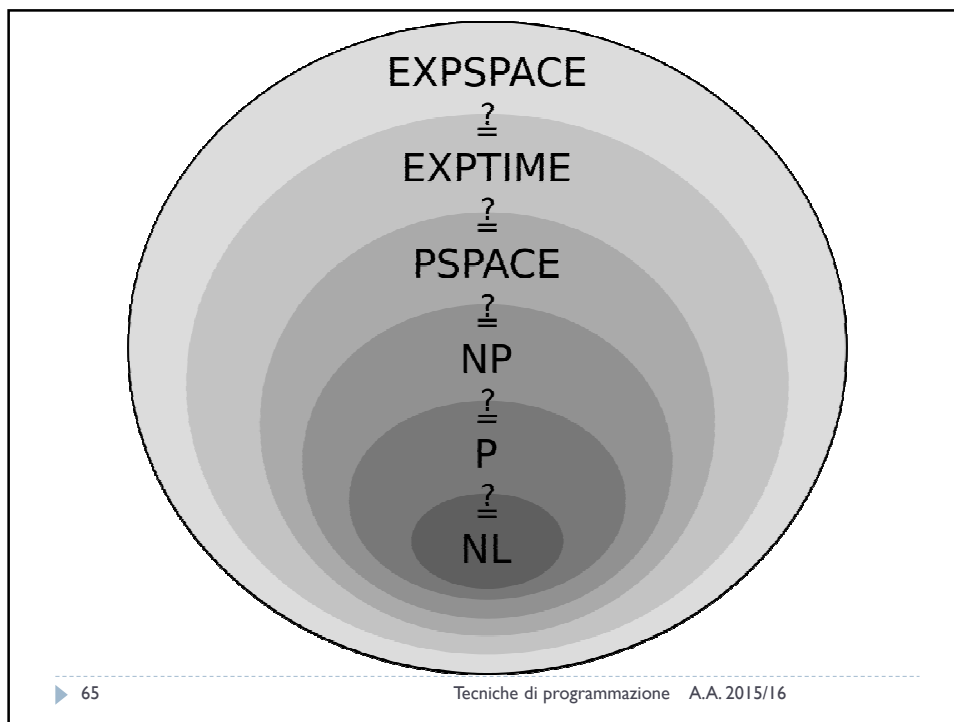
Non-Deterministic Turing Machine

- ▶ Turing machine whose control mechanism works like a non-deterministic finite automaton



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Class	Resource	Model	Constraint
DTIME($f(n)$)	Time	DTM	$f(n)$
P	Time	DTM	$O(n^k)$
EXPTIME	Time	DTM	$O(2^{n^k})$
NTIME	Time	NDTM	$f(n)$
NP	Time	NDTM	$O(n^k)$
NEXPTIME	Time	NDTM	$O(2^{n^k})$
DSPACE($f(n)$)	Space	DTM	$f(n)$
L	Space	DTM	$O(\log(n))$
PSPACE	Space	DTM	$O(n^k)$
EXSPACE	Space	DTM	$O(2^{n^k})$
NSPACE($f(n)$)	Space	NDTM	$f(n)$
NL	Space	NDTM	$O(\log(n))$
NPSPACE	Space	NDTM	$O(n^k)$
NEXSPACE	Space	NDTM	$O(2^{n^k})$

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
lgn	Logarithmic	Cuts problem size by constant fraction on each iteration

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
lgn	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
lgn	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)
n lgn	"n-log-n"	Some divide and conquer

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
lgn	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)
n lgn	"n-log-n"	Some divide and conquer
n ²	Quadratic	Loop inside loop = "nested loop"

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
lgn	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)
n lgn	"n-log-n"	Some divide and conquer
n ²	Quadratic	Loop inside loop = "nested loop"
n ³	Cubic	Loop inside nested loop

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
$\lg n$	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)
$n \lg n$	"n-log-n"	Some divide and conquer
n^2	Quadratic	Loop inside loop = "nested loop"
n^3	Cubic	Loop inside nested loop
2^n	Exponential	Algorithm generates all subsets of n-element set

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Basic Asymptotic Efficiency Classes

Class	Name	Comments
1	Constant	Algorithm ignores input (i.e., can't even scan input)
$\lg n$	Logarithmic	Cuts problem size by constant fraction on each iteration
n	Linear	Algorithm scans its input (at least)
$n \lg n$	"n-log-n"	Some divide and conquer
n^2	Quadratic	Loop inside loop = "nested loop"
n^3	Cubic	Loop inside nested loop
2^n	Exponential	Algorithm generates all subsets of n-element set
$n!$	Factorial	Algorithm generates all permutations of n-element set

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ArrayList vs. LinkedList

	ArrayList	LinkedList
add(element)	$O(1)$	$O(1)$
remove(object)	$O(n) + O(n)$	$O(n) + O(1)$
get(index)	$O(1)$	$O(n)$
set(index, element)	$O(1)$	$O(n) + O(1)$
add(index, element)	$O(1) + O(n)$	$O(n) + O(1)$
remove(index)	$O(n)$	$O(n) + O(1)$
contains(object)	$O(n)$	$O(n)$
indexOf(object)	$O(n)$	$O(n)$

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*In theory, there is no difference
between theory and practice.*








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